

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

|  |           |  |
|--|-----------|--|
| <b>(51) International Patent Classification <sup>5</sup> :</b><br><b>C12P 21/08, C12N 15/13</b><br><b>A61K 39/395, C07K 15/06</b><br><b>C12N 5/10, 15/62, A61K 49/00</b>   | <b>A1</b> | <b>(11) International Publication Number:</b> <b>WO 91/09968</b><br><b>(43) International Publication Date:</b> 11 July 1991 (11.07.91)  |
| <b>(21) International Application Number:</b> PCT/GB90/02018<br><b>(22) International Filing Date:</b> 21 December 1990 (21.12.90)<br><br><b>(30) Priority data:</b><br>8928874.0 21 December 1989 (21.12.89) GB<br><br><b>(71) Applicant (for all designated States except US):</b> CELLTECH LIMITED [GB/GB]; 216 Bath Road, Slough, Berkshire SL1 4EN (GB).<br><br><b>(72) Inventors; and</b><br><b>(75) Inventors/Applicants (for US only):</b> JOLLIFFE, Linda, Kay [US/US]; 301 Tall Oak Lane, Somerville, NJ 08876 (US). ZIVIN, Robert, Allan [US/US]; 6 Glenbrook Court, Lawrenceville, NJ 08648 (US). ADAIR, John, Robert [GB/GB]; 23 George Road, Stokenchurch, High Wycombe, Buckinghamshire HP14 3RN (GB). ATHWAL, Diljeet, Singh [GB/GB]; Flat 35, Knollys House, Tavistock Square, London WC1 (GB).   |           | <b>(74) Agent:</b> MERCER, Christopher, Paul; Carpmaels & Ransford, 43 Bloomsbury Square, London WC1A 2RA (GB).<br><br><b>(81) Designated States:</b> AT, AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CM (OAPI patent), DE, DE (European patent), DK, DK (European patent), ES, ES (European patent), FI, FR (European patent), GA (OAPI patent), GB, GB (European patent), GR, GR (European patent), HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL, NL (European patent), NO, RO, SD, SE, SE (European patent), SN (OAPI patent), SU, TD (OAPI patent), TG (OAPI patent), US.<br><br><b>Published</b><br><i>With international search report.<br/>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> |
| <b>(54) Title:</b> CD3 SPECIFIC RECOMBINANT ANTIBODY<br><br><b>(57) Abstract</b><br><br>A recombinant antibody molecule comprises antigen binding regions derived from the heavy and/or light chain variable regions of a donor anti-CD3 antibody, e.g. OKT3, and has anti-CD3 binding specificity, preferably of affinity similar to that of OKT3. The recombinant antibody is preferably a humanised antibody and may be a chimeric or CDR-grafted antibody. A protocol is disclosed for preparing CDR-grafted humanised antibodies in which, in addition to the CDRs non-human antibody residues are preferably used at positions 23, 24, 49, 71, 73 and 78 of the heavy chain variable region and at positions 46, 48, 58 and 71 of the light chain variable region. The recombinant, especially the humanised, anti-CD3 antibodies may be used for <i>in vivo</i> therapy or diagnosis. |           |  |

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

|    |                          |    |  |    |                          |
|----|--------------------------|----|--|----|--------------------------|
| AT | Austria                  | ES | Spain                                    | MG | Madagascar               |
| AU | Australia                | FI | Finland                                  | ML | Mali                     |
| BB | Barbados                 | FR | France                                   | MN | Mongolia                 |
| BE | Belgium                  | GA | Gabon                                    | MR | Mauritania               |
| BF | Burkina Faso             | GB | United Kingdom                           | MW | Malawi                   |
| BG | Bulgaria                 | GN | Guinea                                   | NL | Netherlands              |
| BJ | Benin                    | GR | Greece                                   | NO | Norway                   |
| BR | Brazil                   | HU | Hungary                                  | PL | Poland                   |
| CA | Canada                   | IT | Italy                                    | RO | Romania                  |
| CF | Central African Republic | JP | Japan                                    | SD | Sudan                    |
| CG | Congo                    | KP | Democratic People's Republic<br>of Korea | SE | Sweden                   |
| CH | Switzerland              | KR | Republic of Korea                        | SN | Senegal                  |
| CI | Côte d'Ivoire            | LI | Liechtenstein                            | SU | Soviet Union             |
| CM | Cameroon                 | LK | Sri Lanka                                | TD | Chad                     |
| CS | Czechoslovakia           | LU | Luxembourg                               | TC | Togo                     |
| DE | Germany                  | MC | Monaco                                   | US | United States of America |
| DK | Denmark                  |    |  |    |                          |

## CD3 specific recombinant antibody

Field of the Invention

The present invention relates to a recombinant antibody molecule (RAM), and especially a humanised antibody molecule (HAM), having specificity for an antigen present in the T-cell receptor-CD3 complex of most T-cells, to a process for its production using recombinant DNA technology and to its therapeutic use.

In the present application, the term "recombinant antibody molecule" (RAM) is used to describe an antibody produced by a process involving the use of recombinant DNA technology, including any analogues of natural immunoglobulins or their fragments. The term "humanised antibody molecule" (HAM) is used to describe a molecule having an antigen binding site derived from an immunoglobulin from a non-human species, remaining immunoglobulin-derived parts of the molecule being derived from a human immunoglobulin. The antigen binding site may comprise either complete variable domains fused onto constant domains or one or more complementarity determining regions grafted onto appropriate framework regions in the variable domains. The abbreviation "MAb" is used to indicate a monoclonal antibody.

In the description, reference is made to a number of publications by number. The publications are listed in numerical order at the end of the description.

Background of the Invention

Natural immunoglobulins have been known for many years, as have the various fragments thereof, such as the Fab, (Fab')<sub>2</sub> and Fc fragments, which can be derived by enzymatic cleavage. Natural immunoglobulins comprise a generally Y-shaped molecule having an antigen-binding site towards the end of each upper arm. The remainder of the

- 2 -

structure, and particularly the stem of the Y, mediates the effector functions associated with immunoglobulins.

Natural immunoglobulins have been used in assay, diagnosis and, to a more limited extent, therapy. However, such uses, especially in therapy, have been hindered by the polyclonal nature of natural immunoglobulins. A significant step towards the realisation of the potential of immunoglobulins as therapeutic agents was the discovery of techniques for the preparation of monoclonal antibodies of defined specificity (ref. 1). However, most MAb are produced by fusions of rodent spleen cells with rodent myeloma cells. They are therefore essentially rodent proteins. There are very few reports of the production of human MABs.

Since most available MABs are of rodent origin, they are naturally antigenic in humans and thus can give rise to an undesirable immune response termed the HAMA (Human Anti-Mouse Antibody) response. Therefore, the use of rodent MABs as therapeutic agents in humans is inherently limited by the fact that the human subject will mount an immunological response to the MAb and will either remove it entirely or at least reduce its effectiveness. Thus, in practice, MABs of rodent origin may not be used in patients for more than one or a few treatments as a HAMA response soon develops rendering the MAb ineffective as well as giving rise to undesirable reactions.

Proposals have therefore been made for making non-human MABs less antigenic in humans. Such techniques can be generically termed "humanisation" techniques. These techniques generally involve the use of recombinant DNA technology to manipulate DNA sequences encoding the polypeptid chains of the antibody molecule.

- 3 -

Early methods for humanising MABs involved production of chimeric antibodies in which an antigen binding site comprising the complete variable domains of one antibody is linked to constant domains derived from another antibody. Methods for carrying out such chimerisation procedures are described in EP0120694 (Celltech Limited), EP0125023 (Genentech Inc. and City of Hope), EP-A-0 171496 (Res. Dev. Corp. Japan), EP-A-0 173 494 (Stanford University), and WO 86/01533 (Celltech Limited). This latter Celltech application (WO 86/01533) discloses a process for preparing an antibody molecule having the variable domains from a mouse MAB and the constant domains from a human immunoglobulin. Such humanised chimeric antibodies, however, still contain a significant proportion of non-human amino acid sequence, i.e. the complete non-human variable domains, and thus may still elicit some HAMA response, particularly if administered over a prolonged period [Begent al al Br. J.Cancer, 62: 487 (1990)].

WO 86/01533 also describes the production of an antibody molecule comprising the variable domains of a mouse MAB, the CH1 and CL domains of a human immunoglobulin, and a non-immunoglobulin-derived protein in place of the Fc portion of the human immunoglobulin.

In an alternative approach, described in EP-A-0239400 (Winter), the complementarity determining regions (CDRs) of a mouse MAB have been grafted onto the framework regions of the variable domains of a human immunoglobulin by site directed mutagenesis using long oligonucleotides. There are 3 CDRs (CDR1, CDR2 and CDR3) in each of the heavy and light chain variable regions. Such CDR-grafted humanised antibodies are much less likely to give rise to a HAMA response than humanised chimeric antibodies in vi w

- 4 -

of the much lower proportion of non-human amino acid sequence which they contain.

The earliest work on humanising MAb's by CDR-grafting was carried out on MAb's recognising synthetic antigens, such as the NP or NIP antigens. However, examples in which a mouse MAb recognising lysozyme and a rat MAb recognising an antigen on human T-cells respectively were humanised by CDR-grafting are shown by Verhoeven et al (ref. 2) and Riechmann et al (ref. 3). The preparation of CDR-grafted antibody to the antigen on human T cells is also described in WO 89/07452 (Medical Research Council).

In Riechmann et al it was found that transfer of the CDR regions alone (as defined by Kabat refs. 4 and 5) was not sufficient to provide satisfactory antigen binding activity in the CDR-grafted product. Riechmann et al found that it was necessary to convert a serine residue at position 27 of the human sequence to the corresponding rat phenylalanine residue to obtain a CDR-grafted product having satisfactory antigen binding activity. This residue at position 27 of the heavy chain is within the structural loop adjacent to CDR1. A further construct which additionally contained a human serine to rat tyrosine change at position 30 of the heavy chain did not have a significantly altered binding activity over the humanised antibody with the serine to phenylalanine change at position 27 alone. These results indicate that changes to residues of the human sequence outside the CDR regions, in particular in the loop adjacent to CDR1, may be necessary to obtain effective antigen binding activity for CDR-grafted antibodies which recognise more complex antigens. Even so the binding affinity of the best CDR-grafted antibodies obtained was still significantly less than the original MAb.

- 5 -

Very recently Queen et al (ref. 6) have described the preparation of a humanised antibody that binds to the interleukin 2 receptor, by combining the CDRs of a murine MAb (anti-Tac) with human immunoglobulin framework and constant regions. The human framework regions were chosen to maximise homology with the anti-Tac MAb sequence. In addition computer modelling was used to identify framework amino acid residues which were likely to interact with the CDRs or antigen, and mouse amino acids were used at these positions in the humanised antibody.

In WO 90/07861 Queen et al propose four criteria for designing humanised immunoglobulins. The first criterion is to use as the human acceptor the framework from a particular human immunoglobulin that is unusually homologous to the non-human donor immunoglobulin to be humanised, or to use a consensus framework from many human antibodies. The second criterion is to use the donor amino acid rather than the acceptor if the human acceptor residue is unusual and the donor residue is typical for human sequences at a specific residue of the framework. The third criterion is to use the donor framework amino acid residue rather than the acceptor at positions immediately adjacent to the CDRs. The fourth criterion is to use the donor amino acid residue at framework positions at which the amino acid is predicted to have a side chain atom within about 3 Å of the CDRs in a three-dimensional immunoglobulin model and to be capable of interacting with the antigen or with the CDRs of the humanised immunoglobulin. It is proposed that criteria two, three or four may be applied in addition or alternatively to criterion one, and may be applied singly or in any combination.

- 6 -

WO 90/07861 describes in detail the preparation of a single CDR-grafted humanised antibody, a humanised antibody having specificity for the p55 Tac protein of the IL-2 receptor. The combination of all four criteria, as above, were employed in designing this humanised antibody, the variable region frameworks of the human antibody Eu (refs. 4 & 5) being used as acceptor. In the resultant humanised antibody the donor CDRs were as defined by Kabat et al (refs. 4 and 5) and in addition the mouse donor residues were used in place of the human acceptor residues, at positions 27, 30, 48, 66, 67, 89, 91, 94, 103, 104, 105 and 107 in the heavy chain and at positions 48, 60 and 63 in the light chain, of the variable region frameworks. The humanised anti-Tac antibody obtained is reported to have an affinity for p55 of  $3 \times 10^9 \text{ M}^{-1}$ , about one-third of that of the murine MAb.

OKT3 is a mouse IgG2a/k MAb which recognises an antigen in the T-cell receptor-CD3 complex and has been approved for use in many countries throughout the world as an immunosuppressant in the treatment of acute allograft rejection [Chatenoud et al (ref. 7), and Jeffers et al (ref. 8) However, in view of the murine nature of this MAb, a significant HAMA response, with a major anti-idiotypic component, may build up on use. Clearly, it would be highly desirable to diminish or abolish this undesirable HAMA response by suitable humanisation or other recombinant DNA manipulation of this very useful antibody and thus enlarge its area of use. It would also be desirable to apply the techniques of recombinant DNA technology more generally to this useful antibody to prepare RAM products.

Moreover, we have further investigated the preparation of CDR-grafted humanised antibody molecules and have identified a hierarchy of positions within the framework



- 7 -

of the variable regions (i.e. outside both the Kabat CDRs and structural loops of the variable regions) at which the amino acid identities of the residues are important for obtaining CDR-grafted products with satisfactory binding affinity. This has enabled us to establish a protocol for obtaining satisfactory CDR-grafted products which may be applied very widely irrespective of the level of homology between the donor immunoglobulin and acceptor framework. The set of residues which we have identified as being of critical importance does not coincide with the residues identified by Queen et al (ref. 6).

#### Summary of the Invention

Accordingly the present invention provides an RAM comprising antigen binding regions derived from the heavy and/or light chain variable regions of a donor anti-CD3 antibody and having anti-CD3 binding specificity, and preferably having an anti-CD3 binding affinity similar to that of OKT3.

Typically the donor anti-CD3 antibody is a rodent MAb.

The RAM of the invention may comprise antigen binding regions from any suitable anti-CD3 antibody, typically a rodent anti-CD3 MAb, e.g. a mouse or rat anti-CD3 MAb. The RAM may comprise a recombinant version of whole or a major part of the amino acid sequence of such a MAb. Also the RAM may comprise only the variable region (VH and/or VL) or one or more CDRs of such a MAb. Especially the RAM may comprise amino acid sequences, whether variable region, CDR or other, derived from the specific anti-CD3 MAb (OKT3) hereinafter specifically described with reference to Figures 1 and 2.

Preferably the RAM of the present invention is a humanised antibody molecule (HAM) having specificity for CD3 and

- 8 -

having an antigen binding site wherein at least one of the complementarity determining regions (CDRs) of the variable domain, usually at least two and preferably all of the CDRs, are derived from a non-human anti-CD3 antibody, e.g. a rodent anti-CD3 MAb.

The RAM may be a chimeric antibody or a CDR-grafted antibody.

Accordingly, in preferred embodiments the invention provides an anti-CD3 CDR-grafted antibody heavy chain having a variable region domain comprising acceptor framework and donor CD3 binding regions wherein the framework comprises donor residues at at least one of positions 6, 23 and/or 24, 48 and/or 49, 71 and/or 73, 75 and/or 76 and/or 78 and 88 and/or 91.

More preferably, the heavy chain framework of the preferred embodiment comprises donor residues at positions 23, 24, 49, 71, 73 and 78 or at positions 23, 24 and 49. The residues at positions 71, 73 and 78 of the heavy chain framework are preferably either all acceptor or all donor residues.

In particularly preferred embodiments the heavy chain framework additionally comprises donor residues at one, some or all of positions 6, 37, 48 and 94. Also it is particularly preferred that residues at positions of the heavy chain framework which are commonly conserved across species, i.e. positions 2, 4, 25, 36, 39, 47, 93, 103, 104, 106 and 107, if not conserved between donor and acceptor, additionally comprise donor residues. Most preferably the heavy chain framework additionally comprises donor residues at positions 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

- 9 -

In addition the heavy chain framework optionally comprises donor residues at one, some or all of positions:

1 and 3,  
72 and 76,  
69 (if 48 is different between donor and acceptor),  
38 and 46 (if 48 is the donor residue),  
80 and 20 (if 69 is the donor residue),  
67,  
82 and 18 (if 67 is the donor residue),  
91,  
88, and  
any one or more of 9, 11, 41, 87, 108, 110 and 112.

In the preferred embodiments of the present invention described above and hereinafter, reference is made to CDR-grafted antibody products comprising acceptor framework and donor antigen binding regions. It will be appreciated that the invention is widely applicable to the CDR-grafting of anti-CD3 antibodies in general. Thus, the donor and acceptor antibodies may be anti-CD3 antibodies derived from animals of the same species and even same antibody class or sub-class. More usually, however, the donor and acceptor antibodies are derived from animals of different species. Typically the donor anti-CD3 antibody is a non-human antibody, such as a rodent MAb, and the acceptor antibody is a human antibody.

In the CDR-grafted antibody products of the present invention, the donor CD3 binding region typically comprises at least one CDR from the donor antibody. Usually the donor antigen binding region comprises at least two and preferably all three CDRs of each of the heavy chain and/or light chain variable regions. The CDRs may comprise the Kabat CDRs, the structural loop CDRs or a composite of the Kabat and structural loop CDRs and any combination of any of these. Preferably, the antigen

- 10 -

binding regions of the CDR-grafted heavy chain variable domain comprise CDRs corresponding to the Kabat CDRs at CDR2 (residues 50-65) and CDR3 (residues 95-100) and a composite of the Kabat and structural loop CDRs at CDR1 (residues 26-35).

The residue designations given above and elsewhere in the present application are numbered according to the Kabat numbering (refs. 4 and 5). Thus the residue designations do not always correspond directly with the linear numbering of the amino acid residues. The actual linear amino acid sequence may contain fewer or additional amino acids than in the strict Kabat numbering corresponding to a shortening of, or insertion into, a structural component, whether framework or CDR, of the basic variable domain structure. For example, the heavy chain variable region of the anti-Tac antibody described by Queen et al (ref. 6) contains a single amino acid insert (residue 52a) after residue 52 of CDR2 and a three amino acid insert (residues 82a, 82b and 82c) after framework residue 82, in the Kabat numbering. The correct Kabat numbering of residues may be determined for a given antibody by alignment at regions of homology of the sequence of the antibody with a "standard" Kabat numbered sequence.

The invention also provides in a further preferred embodiment a CDR-grafted antibody light chain having a variable region domain comprising acceptor framework and donor CD3 binding regions wherein the framework comprises donor residues at at least one of positions 1 and/or 3 and 46 and/or 47. Preferably the CDR grafted light chain of this preferred embodiment comprises donor residues at positions 46 and/or 47.

The invention also provides in a yet further preferred embodiment a CDR-grafted antibody light chain having a

- 11 -

variable region domain comprising acceptor framework and donor CD3 binding regions wherein the framework comprises donor residues at at least one of positions 46, 48, 58 and 71.

More preferably in this latter embodiment, the framework comprises donor residues at all of positions 46, 48, 58 and 71.

In particularly preferred embodiments of the above preferred embodiments, the light chain framework additionally comprises donor residues at positions 36, 44, 47, 85 and 87. Similarly positions of the light chain framework which are commonly conserved across species, i.e. positions 2, 4, 6, 35, 49, 62, 64-69, 98, 99, 101 and 102, if not conserved between donor and acceptor, additionally comprise donor residues. Most preferably the light chain framework additionally comprises donor residues at positions 2, 4, 6, 35, 36, 38, 44, 47, 49, 62, 64-69, 85, 87, 98, 99, 101 and 102.

In addition the light chain framework of the above preferred embodiments optionally comprises donor residues at one, some or all of positions:

- 1 and 3,
- 63,
- 60 (if 60 and 54 are able to form a potential saltbridge),
- 70 (if 70 and 24 are able to form a potential saltbridge),
- 73 and 21 (if 47 is different between donor and acceptor),
- 37 and 45 (if 47 is different between donor and acceptor),
- and
- any one or more of 10, 12, 40, 80, 103 and 105.

- 12 -

Preferably, the antigen binding regions of the CDR-grafted light chain variable domain comprise CDRs corresponding to the Kabat CDRs at CDR1 (residue 24-34), CDR2 (residues 50-56) and CDR3 (residues 89-97).

The invention further provides in a fourth aspect a CDR-grafted antibody molecule comprising at least one CDR-grafted heavy chain and at least one CDR-grafted light chain as defined above.

The CDR-grafted and humanised antibody molecules and chains of the present invention may comprise: a complete antibody molecule, having full length heavy and light chains; a fragment thereof, such as a Fab, (Fab')<sub>2</sub> or FV fragment; a light chain or heavy chain monomer or dimer; or a single chain antibody, e.g. a single chain FV in which heavy and light chain variable regions are joined by a peptide linker; or any other CDR-grafted or humanised antibody product with anti-CD3 binding specificity. Similarly the CDR-grafted heavy and light chain variable region may be combined with other antibody domains as appropriate.

Also the CDR-grafted or humanised heavy or light chains or antibody molecules of the present invention may have attached to them an effector or reporter molecule. For instance, it may have a macrocycle, for chelating a heavy metal atom, or a toxin, such as ricin, attached to it by a covalent bridging structure. Alternatively, the procedures of recombinant DNA technology may be used to produce an immunoglobulin molecule in which the Fc fragment or CH3 domain of a complete immunoglobulin molecule has been replaced by, or has attached thereto by peptide linkage, a functional non-immunoglobulin protein, such as an enzyme or toxin molecule.

- 13 -

For CDR-grafted antibody products, any appropriate acceptor variable region framework sequences may be used having regard to class/type of the donor antibody from which the antigen binding regions are derived. Preferably, the type of acceptor framework used is of the same/similar class/type as the donor antibody. Conveniently, the framework may be chosen to maximise/optimise homology with the donor antibody sequence particularly at positions close or adjacent to the CDRs. However, a high level of homology between donor and acceptor sequences is not important for application of the present invention. The present invention identifies a hierarchy of framework residue positions at which donor residues may be important or desirable for obtaining a CDR-grafted antibody product having satisfactory binding properties. The present invention advantageously enables the preparation of CDR-grafted antibody products having binding affinities similar to, and even in some cases better than the corresponding donor antibody product, e.g. OKT3 product. Preferably, the CDR-grafted antibody products of the invention have binding affinities of at least about  $10^5 \text{ M}^{-1}$ , preferably at least about  $10^8 \text{ M}^{-1}$  and especially within the range  $10^8$ - $10^{12} \text{ M}^{-1}$ . In principle, the present invention is applicable to any combination of donor and acceptor antibodies irrespective of the level of homology between their sequences. A protocol for applying the invention to any particular donor-acceptor antibody pair is given hereinafter. Examples of human frameworks which may be used are KOL, NEWM, REI, EU, LAY and POM (refs. 4 and 5); for instance KOL and NEWM for the heavy chain and REI for the light chain and EU, LAY and POM for both the heavy chain and the light chain.

Also the constant region domains of the products of the invention may be selected having regard to the proposed function of the antibody in particular the effector

- 14 -

functions which may be required. For example, the constant region domains may be human IgA, IgE, IgG or IgM domains. In particular, IgG human constant region domains may be used, especially of the IgG1 and IgG3 isotypes, when the humanised antibody molecule is intended for therapeutic uses, and antibody effector functions are required. Alternatively, IgG2 and IgG4 isotypes may be used when the humanised antibody molecule is intended for therapeutic purposes and antibody effector functions are not required, e.g. for simple blocking of the T-cell receptor-CD3 complex.

However, the remainder of the antibody molecules need not comprise only protein sequences from immunoglobulins. For instance, a gene may be constructed in which a DNA sequence encoding part of a human immunoglobulin chain is fused to a DNA sequence encoding the amino acid sequence of a polypeptide effector or reporter molecule.

Preferably the CDR-grafted heavy and light chain and antibody molecule products are produced by recombinant DNA technology.

Thus in further aspects the invention also includes DNA sequences coding for the RAMs, HAMs and CDR-grafted heavy and light chains, cloning and expression vectors containing the DNA sequences, host cells transformed with the DNA sequences and processes for producing the CDR-grafted antibody molecules comprising expressing the DNA sequences in the transformed host cells.

The general methods by which the vectors may be constructed, transfection methods and culture methods are well known p r se and form no part of th invention. Such methods are shown, for instance, in references 9 and 10.



- 15 -

The DNA sequences which encode the anti-CD3 donor amino acid sequence may be obtained by methods well known in the art. For example the anti-CD3 coding sequences may be obtained by genomic cloning, or cDNA cloning from suitable hybridoma cell lines, e.g. the OKT3 cell line hereinafter specifically described. Positive clones may be screened using appropriate probes for the heavy and light chain genes in question. Also PCR cloning may be used.

DNA coding for acceptor, e.g. human acceptor, sequences may be obtained in any appropriate way. For example DNA sequences coding for preferred human acceptor frameworks such as KOL, REI, EU and NEWM, are widely available to workers in the art.

The standard techniques of molecular biology may be used to prepare DNA sequences coding for the chimeric and CDR-grafted products. Desired DNA sequences may be synthesised completely or in part using oligonucleotide synthesis techniques. Site-directed mutagenesis and polymerase chain reaction (PCR) techniques may be used as appropriate. For example oligonucleotide directed synthesis as described by Jones et al (ref. 17) may be used. Also oligonucleotide directed mutagenesis of a pre-existing variable region as, for example, described by Verhoeyen et al (ref. 2) or Riechmann et al (ref. 3) may be used. Also enzymatic filling in of gapped oligonucleotides using T4 DNA polymerase as, for example, described by Queen et al (ref. 6) may be used.

Any suitable host cell/vector system may be used for expression of the DNA sequences coding for the CDR-grafted heavy and light chains. Bacterial e.g. E. coli, and other microbial systems may be used, in particular for expression of antibody fragments such as FAb and (Fab')<sub>2</sub> fragments, and especially FV fragments and single chain

- 16 -

antibody fragments e.g. single chain FVs. Eucaryotic, e.g. mammalian, host cell expression systems may be used, in particular, for production of larger CDR-grafted antibody products, including complete antibody molecules. Suitable mammalian host cells include CHO cells and myeloma or hybridoma cell lines.

Thus, according to a further aspect the present invention provides a process for producing an anti-CD3 RAM which process comprises:

- (a) producing in an expression vector an operon having a DNA sequence which encodes an antibody heavy chain wherein at least one CDR of the variable domain is derived from a donor anti-CD3 antibody and remaining immunoglobulin-derived parts of the antibody chain are derived from an acceptor immunoglobulin;

and/or

- (b) producing in an expression vector an operon having a DNA sequence which encodes a complementary antibody light chain wherein at least one CDR of the variable domain is derived from a donor anti-CD3 antibody and the remaining immunoglobulin-derived parts of the antibody chain are derived from an acceptor immunoglobulin;
- (c) transfecting a host cell with the or each vector;  
and
- (d) culturing the transfected cell line to produce the RAM.

The RAM may comprise only heavy or light chain-derived polypeptide, in which case only a heavy chain or light chain polypeptide coding sequence is used to transfect the host cells.

- 17 -

For production of RAMs comprising both heavy and light chains, the cell line may be transfected with two vectors. The first vector may contain an operon encoding a light chain-derived polypeptide and the second vector may contain an operon encoding a heavy chain-derived polypeptide. Preferably, the vectors are identical except in so far as the coding sequences and selectable markers are concerned so as to ensure as far as possible that each polypeptide chain is equally expressed. Alternatively, a single vector may be used, the vector including the sequences encoding both light chain- and heavy chain-derived polypeptides.

The DNA in the coding sequences for the light and heavy chains may comprise cDNA or genomic DNA or both. However, it is preferred that the DNA sequence encoding the heavy or light chain comprises, at least partially, genomic DNA. Most preferably, the heavy or light chain encoding sequence comprises a fusion of cDNA and genomic DNA.

The present invention also includes therapeutic and diagnostic compositions comprising the RAMs, HAMs and CDR-grafted light and heavy chains and molecules of the invention and uses of such compositions in therapy and diagnosis.

Accordingly in a further aspect the invention provides a therapeutic or diagnostic composition comprising a RAM, HAM or CDR-grafted antibody heavy or light chain or molecule according to previous aspects of the invention in combination with a pharmaceutically acceptable carrier, diluent or excipient.

Accordingly also the invention provides a method of therapy or diagnosis comprising administering an effective

- 18 -

amount of a RAM, HAM or CDR-grafted antibody heavy or light chain or molecule according to previous aspects of the invention to a human or animal subject.

The RAM, HAM and CDR-grafted products of the present invention may be used for any of the therapeutic uses for which anti CD3 antibodies, e.g. OKT3, have been used or may be used in the future. For example, the products may be used as immunosuppressants, e.g. in the treatment of acute allograft rejection.

A preferred protocol for obtaining CDR-grafted antibody heavy and light chains in accordance with the present invention is set out below together with the rationale by which we have derived this protocol. This protocol and rationale are given without prejudice to the generality of the invention as hereinbefore described and defined.

#### Protocol

It is first of all necessary to sequence the DNA coding for the heavy and light chain variable regions of the donor antibody, to determine their amino acid sequences. It is also necessary to choose appropriate acceptor heavy and light chain variable regions, of known amino acid sequence. The CDR-grafted chain is then designed starting from the basis of the acceptor sequence. It will be appreciated that in some cases the donor and acceptor amino acid residues may be identical at a particular position and thus no change of acceptor framework residue is required.

1. As a first step donor residues are substituted for acceptor residues in the CDRs. For this purpose the CDRs are preferably defined as follows:

- 19 -

- Heavy chain - CDR1: residues 26-35
- CDR2: residues 50-65
- CDR3: residues 95-102
- Light chain - CDR1: residues 24-34
- CDR2: residues 50-56
- CDR3: residues 89-97

The positions at which donor residues are to be substituted for acceptor in the framework are then chosen as follows, first of all with respect to the heavy chain and subsequently with respect to the light chain.

## 2. Heavy Chain

- 2.1 Choose donor residues at all of positions 23, 24, 49, 71, 73 and 78 of the heavy chain or all of positions 23, 24 and 49 (71, 73 and 78 are either all donor or all acceptor).
- 2.2 Check that the following have the same amino acid in donor and acceptor sequences, and if not preferably choose the donor: 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.
- 2.3 To further optimise affinity consider choosing donor residues at one, some or any of:
  - i. 1, 3
  - ii. 72, 76
  - iii. If 48 is different between donor and acceptor sequences, consider 69
  - iv. If at 48 the donor residue is chosen, consider 38 and 46
  - v. If at 69 the donor residue is chosen, consider 80 and then 20
  - vi. 67

- 20 -

- vii. If at 67 the donor residue is chosen, consider  
82 and then 18
- viii. 91
- ix. 88
- x. 9, 11, 41, 87, 108, 110, 112

### 3. Light Chain

- 3.1 Choose donor at 46, 48, 58 and 71
- 3.2 Check that the following have the same amino acid in  
donor and acceptor sequences, if not preferably  
choose donor:  
2, 4, 6, 35, 38, 44, 47, 49, 62, 64-69 inclusive, 85,  
87, 98, 99, 101 and 102
- 3.3 To further optimise affinity consider choosing donor  
residues at one, some or any of:
  - i. 1, 3
  - ii. 63
  - iii. 60, if 60 and 54 are able to form a potential  
saltbridge
  - iv. 70, if 70 and 24 are able to form a potential  
saltbridge
  - v. 73 and 21, if 47 is different between donor and  
acceptor
  - vi. 37 and 45, if 47 is different between donor and  
acceptor
  - vii. 10, 12, 40, 80, 103, 105

### Rationale

In order to transfer the binding site of an antibody into  
a different acceptor framework, a number of factors need  
to be considered.

- 21 -

1. The extent of the CDRs

The CDRs (Complementary Determining Regions) were defined by Wu and Kabat (refs. 4 and 5) on the basis of an analysis of the variability of different regions of antibody variable regions. Three regions per domain were recognised. In the light chain the sequences are 24-34, 50-56, 89-97 (numbering according to Kabat (ref. 4), Eu Index) inclusive and in the heavy chain the sequences are 31-35, 50-65 and 95-102 inclusive.

When antibody structures became available it became apparent that these CDR regions corresponded in the main to loop regions which extended from the  $\beta$  barrel framework of the light and heavy variable domains. For H1 there was a discrepancy in that the loop was from 26 to 32 inclusive and for H2 the loop was 52-56 and for L2 from 50 to 53. However, with the exception of H1 the CDR regions encompassed the loop regions and extended into the  $\beta$  strand frameworks. In H1 residue 26 tends to be a serine and 27 a phenylalanine or tyrosine, residue 29 is a phenylalanine in most cases. Residues 28 and 30 which are surface residues exposed to solvent might be involved in antigen-binding. A prudent definition of the H1 CDR therefore would include residues 26-35 to include both the loop region and the hypervariable residues 33-35.

It is of interest to note the example of Ri chmann et al (ref. 3), who used the residue 31-35 choice for CDR-H1. In order to produce efficient antigen binding residue 27 also ne ded to be r cruit d from th donor (rat) antibody.

- 22 -

2. Non-CDR residues which contribute to antigen binding

By examination of available X-ray structures we have identified a number of residues which may have an effect on net antigen binding and which can be demonstrated by experiment. These residues can be sub-divided into a number of groups.

2.1 Surface residues near CDR [all numbering as in Kabat et al (ref. 4)].

2.1.1. Heavy Chain - Key residues are 23, 71 and 73. Other residues which may contribute to a lesser extent are 1, 3 and 76. Finally 25 is usually conserved but the murine residue should be used if there is a difference.

2.1.2 Light Chain - Many residues close to the CDRs, e.g. 63, 65, 67 and 69 are conserved. If conserved none of the surface residues in the light chain are likely to have a major effect. However, if the murine residue at these positions is unusual, then it would be of benefit to analyse the likely contribution more closely. Other residues which may also contribute to binding are 1 and 3, and also 60 and 70 if the residues at these positions and at 54 and 24 respectively are potentially able to form a salt bridge i.e. 60 + 54; 70 + 24.

2.2 Packing residues near the CDRs.

2.2.1. Heavy Chain - Key residues are 24, 49 and 78. Other key residues would be 36 if not a tryptophan, 94 if not an arginine, 104 and 106 if not glycines and 107 if not a threonine. Residues which may make a further contribution to stable packing of the heavy chain and hence improved affinity are 2, 4, 6, 38, 46, 67 and 69. 67 packs against the CDR residue 63 and



- 23 -

this pair could be either both mouse or both human. Finally, residues which contribute to packing in this region but from a longer range are 18, 20, 80, 82 and 86. 82 packs against 67 and in turn 18 packs against 82. 80 packs against 69 and in turn 20 packs against 80. 86 forms an H bond network with 38 and 46. Many of the mouse-human differences appear minor e.g. Leu-Ile, but could have an minor impact on correct packing which could translate into altered positioning of the CDRs.

2.2.2. Light Chain - Key residues are 48, 58 and 71. Other key residues would be 6 if not glutamine, 35 if not tryptophan, 62 if not phenylalanine or tyrosine, 64, 66, 68, 99 and 101 if not glycines and 102 if not a threonine. Residues which make a further contribution are 2, 4, 37, 45 and 47. Finally residues 73 and 21 and 19 may make long distance packing contributions of a minor nature.

2.3. Residues at the variable domain interface between heavy and light chains - In both the light and heavy chains most of the non-CDR interface residues are conserved. If a conserved residue is replaced by a residue of different character, e.g. size or charge, it should be considered for retention as the murine residue.

2.3.1. Heavy Chain - Residues which need to be considered are 37 if the residue is not a valine but is of larger side chain volume or has a charge or polarity. Other residues are 39 if not a glutamine, 45 if not a leucine, 47 if not a tryptophan, 91 if not a phenylalanine or tyrosine, 93 if not an alanin and 103 if not a tryptophan. Residue 89 is also at the interface

- 24 -

but is not in a position where the side chain could be of great impact.

- 2.3.2. Light Chain - Residues which need to be considered are 36, if not a tyrosine, 38 if not a glutamine, 44 if not a proline, 46, 49 if not a tyrosine, residue 85, residue 87 if not a tyrosine and 98 if not a phenylalanine.
- 2.4. Variable-Constant region interface - The elbow angle between variable and constant regions may be affected by alterations in packing of key residues in the variable region against the constant region which may affect the position of  $V_L$  and  $V_H$  with respect to one another. Therefore it is worth noting the residues likely to be in contact with the constant region. In the heavy chain the surface residues potentially in contact with the variable region are conserved between mouse and human antibodies therefore the variable region contact residues may influence the V-C interaction. In the light chain the amino acids found at a number of the constant region contact points vary, and the V & C regions are not in such close proximity as the heavy chain. Therefore the influences of the light chain V-C interface may be minor.
- 2.4.1. Heavy Chain - Contact residues are 7, 11, 41, 87, 108, 110, 112.
- 2.4.2. Light Chain - In the light chain potentially contacting residues are 10, 12, 40, 80, 83, 103 and 105.

The above analysis coupled with our considerable practical experimental experience in the CDR-grafting of a number of different antibodies have lead us to the protocol given above.

- 25 -

The present invention is now described, by way of example only, with reference to the accompanying Figures 1 - 13.

Brief Description of the Figures

- Figure 1 shows DNA and amino acid sequences of the OKT3 light chain;
- Figure 2 shows DNA and amino acid sequences of the OKT3 heavy chain;
- Figure 3 shows the alignment of the OKT3 light variable region amino acid sequence with that of the light variable region of the human antibody REI;
- Figure 4 shows the alignment of the OKT3 heavy variable region amino acid sequence with that of the heavy variable region of the human antibody KOL;
- Figure 5 shows the heavy variable region amino acid sequences of OKT3, KOL and various corresponding CDR grafts;
- Figure 6 shows the light variable region amino acid sequences of OKT3, REI and various corresponding CDR grafts;
- Figure 7 shows a graph of binding assay results for various grafted OKT3 antibodies;
- Figure 8 shows a graph of blocking assay results for various grafted OKT3 antibodies;
- Figure 9 shows a similar graph of blocking assay results;
- Figure 10 shows similar graphs for both binding assay and blocking assay results;
- Figure 11 shows further similar graphs for both binding assay and blocking assay results;
- Figure 12 shows a graph of competition assay results for a minimally grafted OKT3 antibody compared with the OKT3 murine reference standard, and
- Figure 13 shows a similar graph of competition assay results comparing a fully grafted OKT3 antibody with the murine reference standard.

DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTIONEXAMPLEMATERIAL AND METHODS1. INCOMING CELLS

Hybridoma cells producing antibody OKT3 were provided by Ortho (seedlot 4882.1) and were grown up in antibiotic free Dulbecco's Modified Eagles Medium (DMEM) supplemented with glutamine and 5% foetal calf serum, and divided to provide both an overgrown supernatant for evaluation and cells for extraction of RNA. The overgrown supernatant was shown to contain 250 ug/mL murine IgG2a/kappa antibody. The supernatant was negative for murine lambda light chain and IgG1, IgG2b, IgG3, IgA and IgM heavy chain. 20mL of supernatant was assayed to confirm that the antibody present was OKT3.

2. MOLECULAR BIOLOGY PROCEDURES

Basic molecular biology procedures were as described in Maniatis et al (ref. 9) with, in some cases, minor modifications. DNA sequencing was performed as described in Sanger et al (ref. 11) and the Amersham International Plc sequencing handbook. Site directed mutagenesis was as described in Kramer et al (ref. 12) and the Anglian Biotechnology Ltd. handbook. COS cell expression and metabolic labelling studies were as described in Whittle et al (ref. 13)

- 27 -

### 3. RESEARCH ASSAYS

#### 3.1. ASSEMBLY ASSAYS

Assembly assays were performed on supernatants from transfected COS cells to determine the amount of intact IgG present.

##### 3.1.1. COS CELLS TRANSFECTED WITH MOUSE OKT3 GENES

The assembly assay for intact mouse IgG in COS cell supernatants was an ELISA with the following format:

96 well microtitre plates were coated with F(ab')<sub>2</sub> goat anti-mouse IgG Fc. The plates were washed in water and samples added for 1 hour at room temperature. The plates were washed and F(ab')<sub>2</sub> goat anti-mouse IgG F(ab')<sub>2</sub> (HRPO conjugated) was then added. Substrate was added to reveal the reaction. UPC10, a mouse IgG2a myeloma, was used as a standard.

##### 3.1.2. COS AND CHO CELLS TRANSFECTED WITH CHIMERIC OR CDR-GRAFTED OKT3 GENES

The assembly assay for chimeric or CDR-grafted antibody in COS cell supernatants was an ELISA with the following format:

96 well microtitre plates were coated with F(ab')<sub>2</sub> goat anti-human IgG Fc. The plates were washed and samples added and incubated for 1 hour at room temperature. The plates were washed and monoclonal mouse anti-human kappa chain was added for 1 hour at room temperature.

The plates were washed and F(ab')<sub>2</sub> goat anti-mouse IgG Fc (HRPO conjugated) was added. Enzyme substrate was added to reveal the reaction. Chimeric B72.3 (IgG4) (ref. 13) was used as a standard. The use of a monoclonal anti-kappa chain in this assay allows grafted antibodies to be read from the chimeric standard.

- 28 -

## 3.2. ASSAY FOR ANTIGEN BINDING ACTIVITY

Material from COS cell supernatants was assayed for OKT3 antigen binding activity onto CD3 positive cells in a direct assay. The procedure was as follows:

HUT 78 cells (human T cell line, CD3 positive) were maintained in culture. Monolayers of HUT 78 cells were prepared onto 96 well ELISA plates using poly-L-lysine and glutaraldehyde.

Samples were added to the monolayers for 1 hour at room temperature.

The plates were washed gently using PBS. F(ab')<sub>2</sub> goat anti-human IgG Fc (HRPO conjugated) or F(ab')<sub>2</sub>

goat anti-mouse IgG Fc (HRPO conjugated) was added as appropriate for humanised or mouse samples. Substrate was added to reveal the reaction.

The negative control for the cell-based assay was chimeric B72.3. The positive control was mouse Orthomune OKT3 or chimeric OKT3, when available. This cell-based assay was difficult to perform, and an alternative assay was developed for CDR-grafted OKT3 which was more sensitive and easier to carry out.

In this system, CDR-grafted OKT3 produced by COS cells was tested for its ability to bind to the CD3-positive HPB-ALL (human peripheral blood acute lymphocytic leukemia) cell line. It was also tested for its ability to block the binding of murine OKT3 to these cells. Binding was measured by the following procedure: HPB-ALL cells were harvested from tissue culture. Cells were incubated at 4°C for 1 hour with various dilutions of test antibody, positive control antibody, or negative control antibody. Th

- 29 -

cells were washed once and incubated at 4°C for 1 hour with an FITC-labelled goat anti-human IgG (Fc- specific, mouse absorbed). The cells were washed twice and analysed by cytofluorography. Chimeric OKT3 was used as a positive control for direct binding. Cells incubated with mock-transfected COS cell supernatant, followed by the FITC-labelled goat anti-human IgG, provided the negative control.

To test the ability of CDR-grafted OKT3 to block murine OKT3 binding, the HPB-ALL cells were incubated at 4°C for 1 hour with various dilutions of test antibody or control antibody. A fixed saturating amount of FITC OKT3 was added. The samples were incubated for 1 hour at 4°C, washed twice and analysed by cytofluorography. FITC-labelled OKT3 was used as a positive control to determine maximum binding. Unlabelled murine OKT3 served as a reference standard for blocking. Negative controls were unstained cells with or without mock-transfected cell supernatant.

The ability of the CDR-grafted OKT3 light chain to bind CD3-positive cells and block the binding of murine OKT3 was initially tested in combination with the chimeric OKT3 heavy chain. The chimeric OKT3 heavy chain is composed of the murine OKT3 variable region and the human IgG4 constant region. The chimeric heavy chain gene is expressed in the same expression vector used for the CDR-grafted genes. The CDR-grafted light chain expression vector and the chimeric heavy chain expression vector were co-transfected into COS cells. The fully chimeric OKT3 antibody (chimeric light chain and chimeric heavy

- 30 -

chain) was found to be fully capable of binding to CD3 positive cells and blocking the binding of murine OKT3 to these cells.

### 3.3

#### DETERMINATION OF RELATIVE BINDING AFFINITY

The relative binding affinities of CDR-grafted anti-CD3 monoclonal antibodies were determined by competition binding (ref. 6) using the HPB-ALL human T cell line as a source of CD3 antigen, and fluorescein-conjugated murine OKT3 (Fl-OKT3) of known binding affinity as a tracer antibody.

The binding affinity of Fl-OKT3 tracer antibody was determined by a direct binding assay in which increasing amounts of Fl-OKT3 were incubated with HPB-ALL ( $5 \times 10^5$ ) in PBS with 5% foetal calf serum for 60 min. at 4°C. Cells were washed, and the fluorescence intensity was determined on a FACScan flow cytometer calibrated with quantitative microbead standards (Flow Cytometry Standards, Research Triangle Park, NC).

Fluorescence intensity per antibody molecule (F/P ratio) was determined by using microbeads which have a predetermined number of mouse IgG antibody binding sites (Simply Cellular beads, Flow Cytometry Standards). F/P equals the fluorescence intensity of beads saturated with Fl-OKT3 divided by the number of binding sites per bead. The amount of bound and free Fl-OKT3 was calculated from the mean fluorescence intensity per cell, and the ratio of bound/free was plotted against the number of moles of antibody bound. A linear fit was used to determine the affinity of binding (absolute value of the slope).

For competitive binding, increasing amounts of competitor antibody were added to a sub-saturating dose of Fl-OKT3 and incubated with



- 31 -

$5 \times 10^5$  HPB-ALL in 200  $\mu$ l of PBS with 5% foetal calf serum, for 60 min at 4°C. The fluorescence intensities of the cells were measured on a FACScan flow cytometer calibrated with quantitative microbead standards.

The concentrations of bound and free Fl-OKT3 were calculated. The affinities of competing antibodies were calculated from the equation  $[X]-[OKT3] = (1/K_x) - (1/K_a)$ , where  $K_a$  is the affinity of murine OKT3,  $K_x$  is the affinity of competitor X,  $[ ]$  is the concentration of competitor antibody at which bound/free binding is R/2, and R is the maximal bound/free binding.

#### 4. cDNA LIBRARY CONSTRUCTION

##### 4.1. mRNA PREPARATION AND cDNA SYNTHESIS

OKT3 producing cells were grown as described above and  $1.2 \times 10^9$  cells harvested and mRNA extracted using the guanidinium/LiCl extraction procedure. cDNA was prepared by priming from Oligo-dT to generate full length cDNA. The cDNA was methylated and EcoRI linkers added for cloning.

##### 4.2. LIBRARY CONSTRUCTION

The cDNA library was ligated to pSP65 vector DNA which had been EcoRI cut and the 5' phosphate groups removed by calf intestinal phosphatase (EcoRI/CIP). The ligation was used to transform high transformation efficiency Escherichia coli (E.coli) HB101. A cDNA library was prepared. 3600 colonies were screened for the light chain and 10000 colonies were screened for the heavy chain.

- 32 -

5. SCREENING

E.coli colonies positive for either heavy or light chain probes were identified by oligonucleotide screening using the oligonucleotides:

5' TCCAGATGTTAACTGCTCAC for the light chain, which is complementary to a sequence in the mouse kappa constant region, and 5' CAGGGGCCAGTGGATGGATAGAC for the heavy chain which is complementary to a sequence in the mouse IgG2a constant CH1 domain region. 12 light chain and 9 heavy chain clones were identified and taken for second round screening. Positive clones from the second round of screening were grown up and DNA prepared. The sizes of the gene inserts were estimated by gel electrophoresis and inserts of a size capable of containing a full length cDNA were subcloned into M13 for DNA sequencing.

6. DNA SEQUENCING

Clones representing four size classes for both heavy and light chains were obtained in M13. DNA sequence for the 5' untranslated regions, signal sequences, variable regions and 3' untranslated regions of full length cDNAs [Figures 1(a) and 2(a)] were obtained and the corresponding amino acid sequences predicted [(Figures 1(b) and 2(b))]. In Figure 1(a) the untranslated DNA regions are shown in uppercase, and in both Figures 1 and 2 the signal sequences are underlined.

7. CONSTRUCTION OF cDNA EXPRESSION VECTORS

Cellt ch expression vectors are based on the plasmid pEE6hCMV (r f. 14). A polylinker for the insertion of genes to be xpressed has been

- 33 -

introduced after the major immediate early promoter/enhancer of the human Cytomegalovirus (hCMV). Marker genes for selection of the plasmid in transfected eukaryotic cells can be inserted as BamH1 cassettes in the unique BamH1 site of pEE6 hCMV; for instance, the neo marker to provide pEE6 hCMV neo. It is usual practice to insert the neo and gpt markers prior to insertion of the gene of interest, whereas the GS marker is inserted last because of the presence of internal EcoR1 sites in the cassette.

The selectable markers are expressed from the SV40 late promoter which also provides an origin of replication so that the vectors can be used for expression in the COS cell transient expression system.

The mouse sequences were excised from the M13 based vectors described above as EcoR1 fragments and cloned into either pEE6-hCMV-neo for the heavy chain and into EE6-hCMV-gpt for the light chain to yield vectors pJA136 and pJA135 respectively.

8. EXPRESSION OF cDNAS IN COS CELLS

Plasmids pJA135 and pJA136 were co-transfected into COS cells and supernatant from the transient expression experiment was shown to contain assembled antibody which bound to T-cell enriched lymphocytes. Metabolic labelling experiments using <sup>35</sup>S methionine showed expression and assembly of heavy and light chains.

9. CONSTRUCTION OF CHIMERIC GENES

Construction of chimeric genes followed a previously described strategy [Whittle et al (r f. 13)]. A restriction site near the 3' end

- 34 -

of the variable domain sequence is identified and used to attach an oligonucleotide adapter coding for the remainder of the mouse variable region and a suitable restriction site for attachment to the constant region of choice.

#### 9.1. LIGHT CHAIN GENE CONSTRUCTION

The mouse light chain cDNA sequence contains an Aval site near the 3' end of the variable region [Fig. 1(a)]. The majority of the sequence of the variable region was isolated as a 396 bp. EcoRI-Aval fragment. An oligonucleotide adapter was designed to replace the remainder of the 3' region of the variable region from the Aval site and to include the 5' residues of the human constant region up to and including a unique NarI site which had been previously engineered into the constant region.

A HindIII site was introduced to act as a marker for insertion of the linker.

The linker was ligated to the V<sub>L</sub> fragment and the 413 bp EcoRI-NarI adapted fragment was purified from the ligation mixture.

The constant region was isolated as an NarI-BamHI fragment from an M13 clone NW361 and was ligated with the variable region DNA into an EcoRI/BamHI/CIP pSP65 treated vector in a three way reaction to yield plasmid JA143. Clones were isolated after transformation into E.coli and the linker and junction sequences were confirmed by the presence of the HindIII site and by DNA sequencing.

#### 9.2 LIGHT CHAIN GENE CONSTRUCTION - VERSION 2

The construction of the first chimeric light chain gene produces a fusion of mouse and human amino acid sequences at the variable-constant region junction. In the case of the OKT3 light

- 35 -

chain the amino acids at the chimera junction are:  
 .....Leu-Glu-Ile-Asn-Arg/ -/Thr-Val-Ala -Ala

VARIABLE

CONSTANT

This arrangement of sequence introduces a potential site for Asparagine (Asn) linked (N-linked) glycosylation at the V-C junction. Therefore, a second version of the chimeric light chain oligonucleotide adapter was designed in which the threonine (Thr), the first amino acid of the human constant region, was replaced with the equivalent amino acid from the mouse constant region, Alanine (Ala).

An internal HindIII site was not included in this adapter, to differentiate the two chimeric light chain genes.

The variable region fragment was isolated as a 376 bp EcoRI-AvaI fragment. The oligonucleotide linker was ligated to NarI cut pNW361 and then the adapted 396bp constant region was isolated after recutting the modified pNW361 with EcoRI. The variable region fragment and the modified constant region fragment were ligated directly into EcoRI/CIP treated pEE6hCMVneo to yield pJA137.

Initially all clones examined had the insert in the incorrect orientation. Therefore, the insert was re-isolated and recloned to turn the insert round and yield plasmid pJA141. Several clones with the insert in the correct orientation were obtained and the adapter sequence of one was confirmed by DNA sequencing

### 9.3. HEAVY CHAIN GENE CONSTRUCTION

#### 9.3.1. CHOICE OF HEAVY CHAIN GENE ISOTYPE

The constant region isotype chosen for the heavy chain was human IgG4.

- 36 -

### 9.3.2. GENE CONSTRUCTION

The heavy chain cDNA sequence showed a BanI site near the 3' end of the variable region [Fig. 2(a)].

The majority of the sequence of the variable region was isolated as a 426bp. EcoRI/CIP/BanI fragment. An oligonucleotide adapter was designated to replace the remainder of the 3' region of the variable region from the BanI site up to and including a unique HindIII site which had been previously engineered into the first two amino acids of the constant region.

The linker was ligated to the V<sub>H</sub> fragment and the EcoRI-HindIII adapted fragment was purified from the ligation mixture.

The variable region was ligated to the constant region by cutting pJA91 (ref. ??) with EcoRI and HindIII removing the intron fragment and replacing it with the V<sub>H</sub> to yield pJA142.

Clones were isolated after transformation into E.coli JM101 and the linker and junction sequences were confirmed by DNA sequencing. (N.B. The HindIII site is lost on cloning).

## 10. CONSTRUCTION OF CHIMERIC EXPRESSION VECTORS

### 10.1. neo AND gpt VECTORS

The chimeric light chain (version 1) was removed from pJA143 as an EcoRI fragment and cloned into EcoRI/CIP treated pEE6hCMVneo expression vector to yield pJA145. Clones with the insert in the correct orientation were identified by restriction mapping.

The chimeric light chain (version 2) was constructed as described above.

The chimeric heavy chain gene was isolated from pJA142 as a 2.5Kbp EcoRI/BamHI fragment and

- 37 -

cloned into the EcoR1/Bcl1/C1P treated vector fragment of a derivative of pEE6hCMVgpt to yield plasmid pJA144.

10.2. GS SEPARATE VECTORS

GS versions of pJA141 and pJA144 were constructed by replacing the neo and gpt cassettes by a BamH1/Sall/C1P treatment of the plasmids, isolation of the vector fragment and ligation to a GS-containing fragment from the plasmid pRO49 to yield the light chain vector pJA179 and the heavy chain vector pJA180.

10.3. GS SINGLE VECTOR CONSTRUCTION

Single vector constructions containing the cL (chimeric light), cH (chimeric heavy) and GS genes on one plasmid in the order cL-cH-GS, or cH-cL-GS and with transcription of the genes being head to tail e.g. cL>cH>GS were constructed. These plasmids were made by treating pJA179 or pJA180 with BamH1/C1P and ligating in a Bgl11/Hind111 hCMV promoter cassette along with either the Hind111/BamH1 fragment from pJA141 into pJA180 to give the cH-cL-GS plasmid pJA182 or the Hind111/BamH1 fragment from pJA144 into pJA179 to give the cL-cH-GS plasmid pJA181.

11. EXPRESSION OF CHIMERIC GENES

11.1. EXPRESSION IN COS CELLS

The chimeric antibody plasmid pJA145 (cL) and pJA144 (cH) were co-transfected into COS cells and supernatant from the transient expression experiment was shown to contain assembled antibody which bound to the HUT 78 human T-cell line. Metabolic labelling experiments using <sup>35</sup>S methionine showed expression and assembly of heavy and light chains. However the light chain

- 38 -

mobility seen on reduced gels suggested that the potential glycosylation site was being glycosylated. Expression in COS cells in the presence of tunicamycin showed a reduction in size of the light chain to that shown for control chimeric antibodies and the OKT3 mouse light chain. Therefore JA141 was constructed and expressed. In this case the light chain did not show an aberrant mobility or a size shift in the presence or absence of tunicamycin. This second version of the chimeric light chain, when expressed in association with chimeric heavy (cH) chain, produced antibody which showed good binding to HUT 78 cells. In both cases antigen binding was equivalent to that of the mouse antibody.

- 11.2      **EXPRESSION IN CHINESE HAMSTER OVARY (CHO) CELLS**  
Stable cell lines have been prepared from plasmids PJA141/pJA144 and from pJA179/pJA180, pJA181 and pJA182 by transfection into CHO cells.

12.      **CDR-GRAFTING**

The approach taken was to try to introduce sufficient mouse residues into a human variable region framework to generate antigen binding activity comparable to the mouse and chimeric antibodies.

12.1.    **VARIABLE REGION ANALYSIS**

From an examination of a small database of structures of antibodies and antigen-antibody complexes it is clear that only a small number of antibody residues make direct contact with antigen. Other residues may contribute to antigen binding by positioning the contact residues in favourable configurations and also by inducing a stable packing of the individual



- 39 -

variable domains and stable interaction of the light and heavy chain variable domains.

The residues chosen for transfer can be identified in a number of ways:

- (a) By examination of antibody X-ray crystal structures the antigen binding surface can be predominantly located on a series of loops, three per domain, which extend from the B-barrel framework.
- (b) By analysis of antibody variable domain sequences regions of hypervariability [termed the Complementarity Determining Regions (CDRs) by Wu and Kabat (ref. 5)] can be identified. In the most but not all cases these CDRs correspond to, but extend a short way beyond, the loop regions noted above.
- (c) Residues not identified by (a) and (b) may contribute to antigen binding directly or indirectly by affecting antigen binding site topology, or by inducing a stable packing of the individual variable domains and stabilising the inter-variable domain interaction. These residues may be identified either by superimposing the sequences for a given antibody on a known structure and looking at key residues for their contribution, or by sequence alignment analysis and noting "idiosyncratic" residues followed by examination of their structural location and likely effects.

- 40 -

## 12.1.1. LIGHT CHAIN

Figure 3 shows an alignment of sequences for the human framework region RE1 and the OKT3 light variable region. The structural loops (LOOP) and CDRs (KABAT) believed to correspond to the antigen binding region are marked. Also marked are a number of other residues which may also contribute to antigen binding as described in 13.1(c). Above the sequence in Figure 3 the residue type indicates the spatial location of each residue side chain, derived by examination of resolved structures from X-ray crystallography analysis. The key to this residue type designation is as follows:

N - near to CDR (From X-ray Structures)

P - Packing

B - Buried Non-Packing

S - Surface

E - Exposed

I - Interface

\* - Interface

- Packing/Part Exposed

? - Non-CDR Residues which may require to be left as Mouse sequence.

Residues underlined in Figure 3 are amino acids. RE1 was chosen as the human framework because the light chain is a kappa chain and the kappa variable regions show higher homology with the mouse sequences than a lambda light variable region, e.g. KOL (see below). RE1 was chosen in preference to another kappa light chain because the X-ray structure of the light chain has been determined so that a structural examination of individual residues could be made.

## 12.1.2. HEAVY CHAIN

Similarly Figure 4 shows an alignment of sequences for the human framework region KOL and the OKT3 heavy variable region. The structural loops and CDRs believed to correspond to the

- 41 -

antigen binding region are marked. Also marked are a number of other residues which may also contribute to antigen binding as described in 12.1(c). The residue type key and other indicators used in Figure 4 are the same as those used in Figure 3.

KOL was chosen as the heavy chain framework because the X-ray structure has been determined to a better resolution than, for example, NEWM and also the sequence alignment of OKT3 heavy variable region showed a slightly better homology to KOL than to NEWM.

#### 12.2. DESIGN OF VARIABLE GENES

The variable region domains were designed with mouse variable region optimal codon usage [Grantham and Perrin (ref. 15)] and used the B72.3 signal sequences [Whittle et al (ref. 13)]. The sequences were designed to be attached to the constant region in the same way as for the chimeric genes described above. Some constructs contained the "Kozak consensus sequence" [Kozak (ref. 16)] directly linked to the 5' of the signal sequence in the gene. This sequence motif is believed to have a beneficial role in translation initiation in eukaryotes.

#### 12.3. GENE CONSTRUCTION

To build the variable regions, various strategies are available. The sequence may be assembled by using oligonucleotides in a manner similar to Jones et al (ref. 17) or by simultaneously replacing all of the CDRs or loop regions by oligonucleotide directed site specific mutagenesis in a manner similar to Verhoeven et al (ref. 2). Both strategies were used and a list of constructions is set out in Tables 1 and 2 and Figures 4 and 5. It was noted in several

- 42 -

cases that the mutagenesis approach led to deletions and rearrangements in the gene being remodelled, while the success of the assembly approach was very sensitive to the quality of the oligonucleotides.

13.

CONSTRUCTION OF EXPRESSION VECTORS

Genes were isolated from M13 or SP65 based intermediate vectors and cloned into pEE6hCMVneo for the light chains and pEE6hCMVgpt for the heavy chains in a manner similar to that for the chimeric genes as described above.

**TABLE 1** CDR-GRAFTED GENE CONSTRUCTS

| CODE                                | MOUSE SEQUENCE<br>CONTENT  | METHOD OF<br>CONSTRUCTION | KOZAK<br>SEQUENCE<br>- + |      |
|-------------------------------------|--|---------------------------|--------------------------|------|
| -----                               |  |                           |                          |      |
| LIGHT CHAIN ALL HUMAN FRAMEWORK RE1 |  |                           |                          |      |
| 121                                 | 26-32, 50-56, 91-96 inclusive  | SDM and gene assembly     | +                        | n.d. |
| 121A                                | 26-32, 50-56, 91-96 inclusive<br>+1, 3, 46, 47   | Partial gene assembly     | n.d.                     | +    |
| 121B                                | 26-32, 50-56, 91-96 inclusive<br>+ 46, 47  | Partial gene assembly     | n.d.                     | +    |
| 221                                 | 24-24, 50-56, 91-96 inclusive  | Partial gene assembly     | +                        | +    |
| 221A                                | 24-34, 50-56, 91-96 inclusive<br>+1, 3, 46, 47   | Partial gene assembly     | +                        | +    |
| 221B                                | 24-34, 50-56, 91-96 inclusive<br>+1, 3   | Partial gene assembly     | +                        | +    |
| 221C                                | 24-34, 50-56, 91-96 inclusive  | Partial gene assembly     | +                        | +    |
| HEAVY CHAIN ALL HUMAN FRAMEWORK KOL |  |                           |                          |      |
| 121                                 | 26-32, 50-56, 95-100B inclusive  | Gene assembly             | n.d.                     | +    |
| 131                                 | 26-32, 50-58, 95-100B inclusive  | Gene assembly             | n.d.                     | +    |
| 141                                 | 26-32, 50-65, 95-100B inclusive  | Partial gene assembly     | +                        | n.d. |
| 321                                 | 26-35, 50-56, 95-100B inclusive  | Partial gene assembly     | +                        | n.d. |
| 331                                 | 26-35, 50-58, 95-100B inclusive  | Partial gene assembly     | +                        |      |
|                                     |  | Gene assembly             |                          | +    |
| 341                                 | 26-35, 50-65, 95-100B inclusive  | SDM                       | +                        |      |
|                                     |  | Partial gene assembly     |                          | +    |
| 341A                                | 26-35, 50-65, 95-100B inclusive<br>+6, 23, 24, 48, 49, 71, 73, 76,<br>78, 88, 91 (+63 = human) | Gene assembly             | n.d.                     | +    |
| 341B                                | 26-35, 50-65, 95-100B inclusive<br>+ 48, 49, 71, 73, 76, 78, 88, 91<br>(+63 + human)           | Gene assembly             | n.d.                     | +    |

**KEY**

n.d. not done  
SDM Site directed mutagenesis  
Gene assembly Variable region assembled entirely from oligonucleotides  
Partial gene assembly Variable region assembled by combination of restriction fragments either from other genes originally created by SDM and gene assembly or by oligonucleotide assembly of part of the variable region and reconstruction with restriction fragments from other genes originally created by SDM and gene assembly

- 44 -

14. EXPRESSION OF CDR-GRAFTED GENES

## 14.1. PRODUCTION OF ANTIBODY CONSISTING OF GRAFTED LIGHT (gL) CHAINS WITH MOUSE HEAVY (mH) OR CHIMERIC HEAVY (cH) CHAINS

All gL chains, in association with mH or cH produced reasonable amounts of antibody.

Insertion of the Kozak consensus sequence at a position 5' to the ATG (kgL constructs) however, led to a 2-5 fold improvement in net expression. Over an extended series of experiments expression levels were raised from approximately 200ng/ml to approximately 500 ng/ml for kgL/cH or kgL/mH combinations.

When direct binding to antigen on HUT 78 cells was measured, a construct designed to include mouse sequence based on loop length (gL121) did not lead to active antibody in association with mH or cH. A construct designed to include mouse sequence based on Kabat CDRs (gL221) demonstrated some weak binding in association with mH or cH. However, when framework residues 1, 3, 46, 47 were changed from the human to the murine OKT3 equivalents based on the arguments outlined in Section 12.1 antigen binding was demonstrated when both of the new constructs, which were termed 121A and 221A were co-expressed with cH. When the effects of these residues were examined in more detail, it appears that residues 1 and 3 are not major contributing residues as the product of the gL221B gene shows little detectable binding activity in association with cH. The light chain product of gL221C, in which mouse sequences are present at 46 and 47, shows good binding activity in association with cH.

- 45 -

#### 14.2 PRODUCTION OF ANTIBODY CONSISTING OF GRAFTED HEAVY (gH) CHAINS WITH MOUSE LIGHT (mL) OR CHIMERIC LIGHT (cL) CHAINS

Expression of the gH genes proved to be more difficult to achieve than for gL. First, inclusion of the Kozak sequence appeared to have no marked effect on expression of gH genes. Expression appears to be slightly improved but not to the same degree as seen for the grafted light chain.

Also, it proved difficult to demonstrate production of expected quantities of material when the loop choice (amino acid 26-32) for CDR1 is used, e.g. gH121, 131, 141 and no conclusions can be drawn about these constructs.

Moreover, co-expression of the gH341 gene with cL or mL has been variable and has tended to produce lower amounts of antibody than the cH/cL or mH/mL combinations. The alterations to gH341 to produce gH341A and gH341B lead to improved levels of expression.

This may be due either to a general increase in the fraction of mouse sequence in the variable region, or to the alteration at position 63 where the residue is returned to the human amino acid Valine (Val) from Phenylalanine (Phe) to avoid possible internal packing problems with the rest of the human framework. This arrangement also occurs in gH331 and gH321.

When gH321 or gH331 were expressed in association with cL, antibody was produced but antibody binding activity was not detected.

When the more conservative gH341 gene was used antigen binding could be detected in association with cL or mL, but the activity was only marginally above the background level.

- 46 -

When further mouse residues were substituted based on the arguments in 12.1, antigen binding could be clearly demonstrated for the antibody produced when kgH341A and kgH341B were expressed in association with cL.

## 14.3

**PRODUCTION OF FULLY CDR-GRAFTED ANTIBODY**

The kgL221A gene was co-expressed with kgH341, kgH341A or kgH341B. For the combination kgH221A/kgH341 very little material was produced in a normal COS cell expression.

For the combinations kgL221A/kgH341A or kgH221A/kgH341B amounts of antibody similar to gL/cH was produced.

In several experiments no antigen binding activity could be detected with kgH221A/kgH341 or kgH221A/kgH341 combinations, although expression levels were very low.

Antigen binding was detected when kgL221A/kgH341A or kgH221A/kgH341B combinations were expressed.

In the case of the antibody produced from the kgL221A/kgH341A combination the antigen binding was very similar to that of the chimeric antibody.

An analysis of the above results is given below.

## 15.

**DISCUSSION OF CDR-GRAFTING RESULTS**

In the design of the fully humanised antibody the aim was to transfer the minimum number of mouse amino acids that would confer antigen binding onto a human antibody framework.

15.1. **LIGHT CHAIN**15.1.1. **EXTENT OF THE CDRs**

For the light chain the regions defining the loops known from structural studies of other antibodies to contain the antigen contacting residues, and those hypervariable sequences



- 47 -

defined by Kabat et al (refs. 4 and 5) as Complementarity Determining Regions (CDRs) are equivalent for CDR2. For CDR1 the hypervariable region extends from residues 24-34 inclusive while the structural loop extends from 26-32 inclusive. In the case of OKT3 there is only one amino acid difference between the two options, at amino acid 24, where the mouse sequence is a serine and the human framework RE1 has glutamine. For CDR3 the loop extends from residues 91-96 inclusive while the Kabat hypervariability extends from residues 89-97 inclusive. For OKT3 amino acids 89, 90 and 97 are the same between OKT3 and RE1 (Fig. 3). When constructs based on the loop choice for CDR1 (gL121) and the Kabat choice (gL221) were made and co-expressed with mH or cH no evidence for antigen binding activity could be found for gL121, but trace activity could be detected for the gL221, suggesting that a single extra mouse residue in the grafted variable region could have some detectable effect. Both gene constructs were reasonably well expressed in the transient expression system.

#### 15.1.2. FRAMEWORK RESIDUES

The remaining framework residues were then further examined, in particular amino acids known from X-ray analysis of other antibodies to be close to the CDRs and also those amino acids which in OKT3 showed differences from the consensus framework for the mouse subgroup (subgroup VI) to which OKT3 shows most homology. Four positions 1, 3, 46 and 47 were identified and their possible contribution was examined by substituting the mouse amino acid for the human amino acid at each position.

- 48 -

Therefore gL221A (gL221 + D1Q, Q3V, L46R, L47W, see Figure 3 and Table 1) was made, cloned in EE6hCMVneo and co-expressed with cH (pJA144). The resultant antibody was well expressed and showed good binding activity. When the related genes gL221B (gL221 + D1Q, Q3V) and gL221C (gL221 + L46R, L47W) were made and similarly tested, while both genes produced antibody when co-expressed with cH, only the gL221C/cH combination showed good antigen binding. When the gL121A (gL121 + D1Q, Q3V, L46R, L47W) gene was made and co-expressed with cH, antibody was produced which also bound to antigen.

## 15.2. HEAVY CHAIN

### 15.2.1. EXTENT OF THE CDRs

For the heavy chain the loop and hypervariability analyses agree only in CDR3. For CDR1 the loop region extends from residues 26-32 inclusive whereas the Kabat CDR extends from residues 31-35 inclusive. For CDR2 the loop region is from 50-58 inclusive while the hypervariable region covers amino acids 50-65 inclusive. Therefore humanised heavy chains were constructed using the framework from antibody KOL and with various combinations of these CDR choices, including a shorter choice for CDR2 of 50-56 inclusive as there was some uncertainty as to the definition of the end point for the CDR2 loop around residues 56 to 58. The genes were co-expressed with mL or cL initially. In the case of the gH genes with loop choices for CDR1 e.g. gH121, gH131, gH141 very little antibody was produced in the culture supernatants. As no free light chain was detected it was presumed that the antibody was being made and assembled inside the cell but that the heavy chain was aberrant in

- 49 -

some way, possibly incorrectly folded, and therefore the antibody was being degraded internally. In some experiments trace amounts of antibody could be detected in  $^{35}\text{S}$  labelling studies.

As no net antibody was produced, analysis of these constructs was not pursued further. When, however, a combination of the loop choice and the Kabat choice for CDR1 was tested (mouse amino acids 26-35 inclusive) and in which residues 31 (Ser to Arg), 33 (Ala to Thr), and 35 (Tyr to His) were changed from the human residues to the mouse residue and compared to the first series, antibody was produced for gH321, kgH331 and kgH341 when co-expressed with cL.

Expression was generally low and could not be markedly improved by the insertion of the Kozak consensus sequence 5' to the ATG of the signal sequence of the gene, as distinct from the case of the gL genes where such insertion led to a 2-5 fold increase in net antibody production. However, only in the case of gH341/mL or kgH341/cL could marginal antigen binding activity be demonstrated. When the kgH341 gene was co-expressed with kgL221A, the net yield of antibody was too low to give a signal above the background level in the antigen binding assay.

#### 15.2.2. FRAMEWORK RESIDUES

As in the case of the light chain the heavy chain frameworks were re-examined. Possibly because of the lower initial homology between the mouse and human heavy variable domains compared to the light chains, more amino acid positions proved to be of interest. Two genes kgH341A and kgH341B were constructed, with 11 or 8 human residues respectively substituted by mouse residues

- 50 -

compared to gH341, and with the CDR2 residue 63 returned to the human amino acid potentially to improve domain packing. Both showed antigen binding when combined with cL or kgL221A, the kgH341A gene with all 11 changes appearing to be the superior choice.

## 15.3

## INTERIM CONCLUSIONS

It has been demonstrated, therefore, for OKT3 that to transfer antigen binding ability to the humanised antibody, mouse residues outside the CDR regions defined by the Kabat hypervariability or structural loop choices are required for both the light and heavy chains. Fewer extra residues are needed for the light chain, possibly due to the higher initial homology between the mouse and human kappa variable regions.

Of the changes seven (1 and 3 from the light chain and 6, 23, 71, 73 and 76 from the heavy chain) are predicted from a knowledge of other antibody structures to be either partly exposed or on the antibody surface. It has been shown here that residues 1 and 3 in the light chain are not absolutely required to be the mouse sequence; and for the heavy chain the gH341B heavy chain in combination with the 221A light chain generated only weak binding activity. Therefore the presence of the 6 and 23 and 24 changes are important to maintain binding affinity similar to that of murine antibody. It was important, therefore, to further study the individual contribution of the other 8 mouse residues of the kgH341A gene compared to kgH341.

## 16.

FURTHER CDR-GRAFTING EXPERIMENTS

Additional CDR-grafted heavy chain genes were prepared substantially as described above. With

- 51 -

reference to Tabl 2 the further heavy chain genes were based upon the gh341 (plasmid pJA178) and gH341A (plasmid pJA185) with either mouse OKT3 or human KOL residues at 6, 23, 24, 48, 49, 63, 71, 73, 76, 78, 88 and 91, as indicated. The CDR- grafted light chain genes used in these further experiments were gL221, gL221A, gL221B and gL221C as described above.

TABLE 2

OKT3 HEAVY CHAIN CDR GRAFTS

## 1. gH341 and derivatives

| RES NUM | 6        | 23       | 24       | 48       | 49       | 63       | 71       | 73       | 76       | 78       | 88       | 91       |       |
|---------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|-------|
| OKT3vh  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>F</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>A</u> | <u>Y</u> |       |
| gH341   | E        | S        | S        | V        | A        | F        | R        | N        | N        | L        | G        | F        | JA178 |
| gH341A  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>A</u> | <u>Y</u> | JA185 |
| gH341E  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>G</u> | <u>G</u> | JA198 |
| gH341*  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>N</u> | <u>A</u> | <u>G</u> | <u>F</u> | JA207 |
| gH341*  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>R</u> | <u>N</u> | <u>N</u> | <u>A</u> | <u>G</u> | <u>F</u> | JA209 |
| gH341D  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>N</u> | <u>L</u> | <u>G</u> | <u>F</u> | JA197 |
| gH341*  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>R</u> | <u>N</u> | <u>N</u> | <u>L</u> | <u>G</u> | <u>F</u> | JA199 |
| gH341C  | <u>Q</u> | <u>K</u> | <u>A</u> | <u>V</u> | <u>A</u> | <u>F</u> | <u>R</u> | <u>N</u> | <u>N</u> | <u>L</u> | <u>G</u> | <u>F</u> | JA184 |
| gH341*  | <u>Q</u> | <u>S</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>A</u> | <u>Y</u> | JA203 |
| gH341*  | E        | S        | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>A</u> | <u>Y</u> | JA205 |
| gH341B  | E        | S        | S        | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>A</u> | <u>Y</u> | JA183 |
| gH341*  | <u>Q</u> | <u>S</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>G</u> | <u>F</u> | JA204 |
| gH341*  | E        | S        | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>S</u> | <u>A</u> | <u>G</u> | <u>F</u> | JA206 |
| gH341*  | <u>Q</u> | <u>S</u> | <u>A</u> | <u>I</u> | <u>G</u> | <u>V</u> | <u>T</u> | <u>K</u> | <u>N</u> | <u>A</u> | <u>G</u> | <u>F</u> | JA208 |
| KOL     | E        | S        | S        | V        | A        |          | R        | N        | N        | L        | G        | F        |       |

OKT3 LIGHT CHAIN CDR GRAFTS

## 2. gL221 and derivatives

| RES NUM | 1        | 3        | 46       | 47              |
|---------|----------|----------|----------|-----------------|
| OKT3v1  | <u>Q</u> | <u>V</u> | <u>R</u> | <u>W</u>        |
| GL221   | D        | Q        | L        | L DA221         |
| gL221A  | <u>Q</u> | <u>V</u> | <u>R</u> | <u>W</u> DA221A |
| gL221B  | <u>Q</u> | <u>V</u> | <u>L</u> | <u>L</u> DA221B |
| GL221C  | D        | Q        | <u>R</u> | <u>W</u> DA221C |
| RE1     | D        | Q        | L        | L               |

MURINE RESIDUES ARE UNDERLINED

- 53 -

The CDR-grafted heavy and light chain genes were co-expressed in COS cells either with one another in various combinations but also with the corresponding murine and chimeric heavy and light chain genes substantially as described above. The resultant antibody products were then assayed in binding and blocking assays with HPB-ALL cells as described above.

The results of the assays for various grafted heavy chains co-expressed with the gL221C light chain are given in Figures 7 and 8 (for the JA184, JA185, JA197 and JA198 constructs - see Table 2), in Figure 9 (for the JA183, JA184, JA185 and JA197 constructs) in Figure 10 (for the chimeric, JA185, JA199, JA204, JA205, JA207, JA208 and JA209 constructs) and in Figure 11 (for the JA183, JA184, JA185, JA198, JA203, JA205 and JA206 constructs).

The basic grafted product without any human to murine changes in the variable frameworks, i.e. gL221 co-expressed with gh341 (JA178), and also the "fully grafted" product, having most human to murine changes in the grafted heavy chain framework, i.e. gL221C co-expressed with gh341A (JA185), were assayed for relative binding affinity in a competition assay against murine OKT3 reference standard, using HPB-ALL cells. The assay used was as described above in section 3.3. The results obtained are given in Figure 12 for the basic grafted product and in Figure 13 for the fully grafted product. These results indicate that the basic grafted product has relatively poor binding ability as compared with the OKT3 murine reference standard; whereas the "fully grafted" product has a binding ability very similar to that of the OKT3 murine reference standard.

The binding and blocking assay results indicate the following:

- 54 -

The JA198 and JA207 constructs appear to have the best binding characteristics and similar binding abilities, both substantially the same as the chimeric and fully grafted gH341A products. This indicates that positions 88 and 91 and position 76 are not highly critical for maintaining the OKT3 binding ability; whereas at least some of positions 6, 23, 24, 48, 49, 71, 73 and 78 are more important.

This is borne out by the finding that the JA209 and JA199, although of similar binding ability to one another, are of lower binding ability than the JA198 and JA207 constructs. This indicates the importance of having mouse residues at positions 71, 73 and 78, which are either completely or partially human in the JA199 and JA209 constructs respectively.

Moreover, on comparing the results obtained for the JA205 and JA183 constructs it is seen that there is a decrease in binding going from the JA205 to the JA183 constructs. This indicates the importance of retaining a mouse residue at position 23, the only position changed between JA205 and JA183.

These and other results lead us to the conclusion that of the 11 mouse framework residues used in the gH341A (JA185) construct, it is important to retain mouse residues at all of positions 6, 23, 24, 48 and 49, and possibly for maximum affinity at positions 71, 73 and 78.



REFERENCES

1. Kohler & Milstein, Nature, 265, 295-497, 1975.
2. Verhoeyen et al, Science, 239, 1534-1536, 1988.
3. Riechmann et al, Nature, 332, 323-324, 1988.
4. Kabat, E.A., Wu, T.T., Reid-Miller, M., Perry, H.M., Gottesman, K.S., 1987, in Sequences of Proteins of Immunological Interest, US Department of Health and Human Services, NIH, USA.
5. Wu, T.T., and Kabat, E.A., 1970, J. Exp. Med. 132 211-250.
6. Queen et al, (1989), Proc. Natl. Acad. Sci. USA, 86, 10029-10033 and WO 90/07861
7. Chatenoud et al, (1986), J. Immunol. 137, 830-838.
8. Jeffers et al, (1986), Transplantation, 41, 572-578.
9. Maniatis et al, Molecular Cloning, Cold Spring Harbor, New York, 1982.
10. Primrose and Old, Principles of Gene Manipulation, Blackwell, Oxford, 1980.
11. Sanger, F., Nicklen, S., Coulson, A.R., 1977, Proc. Natl. Acad. Sci. USA, 74 5463
12. Kramer, W., Drutsa, V., Jansen, H.-W., Kramer, B., Plugfelder, M., Fritz, H.-J., 1984, Nucl. Acids Res. 12, 9441

- 56 -

13. Whittle, N., Adair, J., Lloyd, J.C., Jenkins, E., Devine, J., Schlom, J., Raubitshek, A., Colcher, D., Bodmer, M., 1987, Protein Engineering 1, 499.
14. Bebbington, C.R., Published International Patent Application WO 89/01036.
15. Granthan and Perrin 1986, Immunology Today 7, 160.
16. Kozak, M., 1987, J. Mol. Biol. 196, 947.
17. Jones, T.P., Dear, P.H., Foote, J., Neuberger, M.S., Winter, G., 1986, Nature, 321, 522

- 57 -

CLAIMS

1. A recombinant antibody molecule comprising antigen binding regions derived from the heavy and/or light chain variable regions of a donor anti-CD3 antibody and having an anti-CD3 binding specificity.
2. A recombinant antibody molecule according to Claim 1, having an anti-CD3 binding affinity similar to that of OKT3.
3. A recombinant antibody molecule according to Claim 1 or Claim 2 which is a chimeric antibody.
4. A recombinant antibody molecule according to Claim 1 or Claim 2 which is a CDR-grafted antibody.
5. A recombinant antibody molecule according to any of the preceding claims which is a humanised antibody molecule.
6. A CDR-grafted antibody heavy chain according to Claim 4, having a variable region domain comprising acceptor framework and donor CD3 binding regions wherein the framework comprises donor residues at at least one of positions 6, 23 and/or 24, 48 and/or 49, 71 and/or 73, 75 and/or 76 and/or 78 and 88 and/or 91.
7. A CDR-grafted heavy chain according to Claim 6 comprising donor residues at positions 23, 24, 49, 71, 73 and 78, or at positions 23, 24 and 49.
8. A CDR-grafted heavy chain according to Claim 7 comprising donor residues at positions 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

- 58 -

9. A CDR-grafted heavy chain according to Claim 7 or 8, comprising donor residues at one, some or all of positions:  
1 and 3,  
69 (if 48 is different between donor and acceptor),  
38 and 46 (if 48 is the donor residue),  
67,  
82 and 18 (if 67 is the donor residue),  
91, and  
any one or more of 9, 11, 41, 87, 108, 110 and 112.
10. A CDR-grafted heavy chain according to any one of Claims 4, or 6-9 comprising donor CDRs at positions 26-35, 50-65 and 95-100.
11. A CDR-grafted antibody light chain according to Claim 4, having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 1 and/or 3 and 46 and/or 47.
12. A CDR-grafted light chain according to Claim 11 comprising donor residues at positions 46 and 47.
13. A CDR-grafted antibody light chain according to Claim 4, having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 46, 48, 58 and 71.
14. A CDR-grafted light chain according to Claim 13 comprising donor residues at positions 46, 48, 58 and 71.

- 59 -

15. A CDR-grafted light chain according to Claim 11 or 13, comprising donor residues at positions 2, 4, 6, 35, 36, 38, 44, 47, 49, 62, 64-69, 85, 87, 98, 99, 101 and 102.
16. A CDR-grafted light chain according to any one of Claims 11, 13, or 15, comprising donor residues at one, some or all of positions:  
1 and 3,  
63,  
60 (if 60 and 54 are able to form a potential saltbridge),  
70 (if 70 and 24 are able to form a potential saltbridge),  
73 and 21 (if 47 is different between donor and acceptor),  
37 and 45 (if 47 is different between donor and acceptor), and  
any one or more of 10, 12, 40, 83, 103 and 105.
17. A CDR-grafted light chain according to any one of Claims 4 or 11-16, comprising donor CDRs at positions 24-34, 50-56 and 89-97.
18. A CDR-grafted antibody molecule comprising at least one CDR-grafted heavy chain according to any one of Claims 6-10 and at least one CDR-grafted light chain according to any one of Claims 11-17.
19. A CDR-grafted antibody heavy or light chain or molecule according to any one of Claims 6-18 comprising human acceptor residues and non-human donor residues.

- 60 -

20. A DNA sequence which codes for a recombinant antibody according to Claim 1, a humanised antibody according to Claim 5, a CDR-grafted heavy chain according to Claim 6 or a CDR-grafted light chain according to Claim 11 or Claim 13.
21. A cloning or expression vector containing a DNA sequence according to Claim 20.
22. A host cell transformed with a DNA sequence according to Claim 20.
23. A process for the production of an anti-CD3 CDR-grafted antibody product comprising expressing a DNA sequence according to Claim 20 in a transformed host cell.
24. A process for producing an anti-CD3 CDR-grafted antibody product comprising:
  - (a) producing in an expression vector an operon having a DNA sequence which encodes an antibody heavy chain according to Claim 6;
  - and/or
  - (b) producing in an expression vector an operon having a DNA sequence which encodes a complementary antibody light chain according to Claim 11 or Claim 13;
  - (c) transfecting a host cell with the or each vector; and
  - (d) culturing the transfected cell line to produce the CDR-grafted antibody product.
25. A therapeutic or diagnostic composition comprising a recombinant antibody molecule according to Claim 1, a humanised antibody molecule according to Claim 5, a CDR-grafted antibody heavy chain according to Claim 6,

- 61 -

a CDR-grafted light chain according to Claim 11 or Claim 13, or a CDR-grafted antibody molecule according to Claim 18 in combination with a pharmaceutically acceptable carrier, diluent or excipient.

26. A method of therapy or diagnosis comprising administering an effective amount of a recombinant antibody molecule according to Claim 1, a humanised antibody molecule according to Claim 5, a CDR-grafted heavy chain according to Claim 6, or a CDR-grafted light chain according to Claim 11 or Claim 13, or a CDR-grafted antibody molecule according to Claim 18 to a human or animal subject.

1/15

1 GAATTCCCAA AGACAAAatg gattttcaag tgcagatttt cagcttcctg  
51 ctaatacagt cctcagtc aatatccaga ggacaaattg ttctcaccca  
101 gtctccagca atcatgtctg catctccagg ggagaaggct accatgacct  
151 gcagtgccag ctcaagtgt agttacatga actggtacca gcagaagtca  
201 ggcacctccc ccaaaagatg gatttatgac acatccaaac tggcttctgg  
251 agtccctgct cacttcaggg gcagtgggtc tgggacctct tactctctca  
301 caatcagcgg catggaggct gaagatgctg ccacttatta ctgccagcag  
351 tggagtagta acccattcac gttcggctcg gggacaaagt tggaaataaa  
401 ccgggctgat actgcaccaa ctgtatccat cttcccacca tccagtgagc  
451 agttaacatc tggaggtgcc tcagtcgtgt gcttcttgaa caacttctac  
501 cccaaagaca tcaatgtcaa gtggaagatt gatggcagtg aacgacaaaa  
551 tggcgtcctg aacagttgga ctgatcagga cagcaaagac agcacctaca  
601 gcatgagcag caccctcacg ttgaccaagg acgagtatga acgacataac  
651 agctatacct gtgaggccac tcacaagaca tcaacttcac ccattgtcaa  
701 gagcttcaac aggaatgagt gtTAGAGACA AAGGTCCTGA GACGCCACCA  
751 CCAGCTCCCA GCTCCATCCT ATCTTCCCTT CTAAGGTCCT GGAGGCTTCC  
801 CCACAAGCGC tTACCACTGT TCGGTGCTC tAAACCTCCT CCCACCTCCT  
851 TCTCCTCCTC CTCCCTTTCC TTGGCTTTTA TCATGCTAAT ATTTGCAGAA  
901 AATATTCAAT AAAGTGAGTC TTTGCCTTGA AAAAAAAAAA AAA

Fig. 1(a)

1 MDFOVOIFSF LLISASVIIS RGQIVLTQSP AIMSASPGEK VTMTCSASSS  
51 VSYMNWYQQK SGTSPKRWIY DTSKLASGVP AHFRGSGSGT SYSLTISGME  
101 AEDAATYYCQ QWSSNPFTFG SGTKLEINRA DTAPTVSIFP PSSEQLTSGG  
151 ASVVCFLNNF YPKDINVKWK IDGSRQNGV LNSWTDQDSK DSTYSMSSTL  
201 TLTKEDEYERH NSYTCEATHK TSTSPIVKSF NRNEC\*

Fig. 1(b)



2/15

1 GAATTCCCCT CTCCACAGAC ACTGAAACT CTGACTCAAC ATGGAAAGGC  
51 ACTGGATCTT TCTACTCCTG TTGTCAGTAA CTGCAGGTGT CCACTCCCAG  
101 GTCCAGCTGC AGCAGTCTGG GGCTGAACTG GCAAGACCTG GGGCCTCAGT  
151 GAAGATGTCC TGCAAGGCTT CTGGCTACAC CTTTACTAGG TACACGATGC  
201 ACTGGGTAAA ACAGAGGCCT GGACAGGGTC TGGAAATGGAT TGGATACATT  
251 AATCCTAGCC GTGGTTATAC TAATTACAAT CAGAAGTTCA AGGACAAGGC  
301 CACATTGACT ACAGACAAAT CCTCCAGCAC AGCCTACATG CAACTGAGCA  
351 GCCTGACATC TGAGGACTCT GCAGTCTATT ACTGTGCAAG ATATTATGAT  
401 GATCATTACT GCCTTGACTA CTGGGGCCAA GGCACCACTC TCACAGTCTC  
451 CTCAGCCAAA ACAACAGCCC CATCGGTCTA TCCACTGGCC CCTGTGTGTG  
501 GAGATACAAC TGGCTCCTCG GTGACTCTAG GATGCCTGGT CAAGGGTTAT  
551 TTCCCTGAGC CAGTGACCTT GACCTGGAAC TCTGGATCCC TGTCCAGTGG  
601 TGTGCACACC TTCCCAGCTG TCCTGCAGTC TGACCTCTAC ACCCTCAGCA  
651 GCTCAGTGAC TGTAACCTCG AGCACCTGGC CCAGCCAGTC CATCACCTGC  
701 AATGTGGCCC ACCCGGCAAG CAGCACCAAG GTGGACAAGA AAATTGAGCC  
751 CAGAGGGCCC ACAATCAAGC CCTGTCCTCC ATGCAAATGC CCAGCACCTA  
801 ACCTCTTGGG TGGACCATCC GTCTTCATCT TCCCTCCAAA GATCAAGGAT  
851 GTACTCATGA TCTCCCTGAG CCCCATAGTC ACATGTGTGG TGGTGGATGT  
901 GAGCGAGGAT GACCCAGATG TCCAGATCAG CTGGTTTGTG AACAACGTGG  
951 AAGTACACAC AGCTCAGACA CAAACCCATA GAGAGGATTA CAACAGTACT  
1001 CTCCGGGTGG TCAGTGCCCT CCCCATCCAG CACCAGGACT GGATGAGTGG  
1051 CAAGGAGTTC AAATGCAAGG TCAACAACAA AGACCTCCCA GCGCCCATCG  
1101 AGAGAACCAT CTCAAAACCC AAAGGGTCAG TAAGAGCTCC ACAGGTATAT  
1151 GTCTTGCCTC CACCAGAAGA AGAGATGACT AAGAAACAGG TCACTCTGAC  
1201 CTGCATGGTC ACAGACTTCA TGCCTGAAGA CATTTACGTG GAGTGGACCA  
1251 ACAACGGGAA AACAGAGCTA AACTACAAGA ACACTGAACC AGTCCTGGAC  
1301 TCTGATGGTT CTTACTTCAT GTACAGCAAG CTGAGAGTGG AAAAGAAGAA  
1351 CTGGGTGGAA AGAAATAGCT ACTCCTGTTC AGTGGTCCAC GAGGGTCTGC  
1401 ACAATCACCA CACGACTAAG AGCTTCTCCC GGACTCCGGG TAAATGAGCT  
1451 CAGCACCCAC AAAACTCTCA GGTCCAAAGA GACACCCACA CTCATCTCCA  
1501 TGCTTCCCTT GTATAAATAA AGCACCCAGC AATGCCTGGG ACCATGTAAA  
1551 AAAAAAAAAA AAAGGAATTC

Fig. 2(a)

3/15

## OKT 3 HEAVY CHAIN PROTEIN SEQUENCE DEDUCED FROM DNA SEQUENCE

1 MERHWIFLLL LSVTAGVHSQ VQLQQSGAEL ARPGASVKMS CKASGYTFTR  
 51 YTMHWVKQRP GQGLEWIGYI NPSRGYTNYN QKFKDKATLT TDKSSSTAYM  
 101 QLSSLTSEDS AVYYCARYYD DHYCLDYWGQ GTTLTVSSAK TTAPSVYPLA  
 151 PVCDDTTGSS VTLGCLVKGY FPEPVTLTWN SGSLSSGVHT FPAVLQSDLY  
 201 TLSSSVTVTS STWPSQSITC NVAHPASSTK VDKKIEPRGP TIKPCPPCKC  
 251 PAPNLLGGPS VFIFPPKIKD VLMISLSPIV TCVVVDVSED DPDVQISWV  
 301 NNVEVHTAQT QTHREDYNST LRVVSALPIQ HQDWMSGKEF KCKVNNKDL  
 351 APIERTISKP KGSVRAPQVY VLPPPEEEMT KKQVTILTCMV TDFMPEDIYV  
 401 EWTNNGKTEL NYKNTEPVLD SDGSYFMYSK LRVEKKNWVE RNSYSCSVVH  
 451 EGLHNHHTTK SFSRTPGK\*

Fig. 2(b)

|          |  |   |    |   |    |
|----------|--|---|----|---|----|
|          | 1  |   | 23 |   | 42 |
|          | NN   | N | N  | N | N  |
| RES TYPE | SBspSPESsBSbSsSSsPSPSPsPSsse*s*p*Pi <sup>^</sup> ISsSe |   |    |   |    |
| Okt3v1   | QIVLTQSPAImASAPGEKVTMTCSASS.SVSYMNWYQQKSGT             |   |    |   |    |
| REI      | DIQMTQSPSSLSASVGDRVTITCQASQDIKYLWYQQTPGK               |   |    |   |    |
|          | ? ?  |   |    |   |    |

|      |         |       |
|------|---------|-------|
| CDR1 | (LOOP)  | ***** |
| CDR1 | (KABAT) | ***** |

|          |   |    |    |
|----------|---|----|----|
|          | 56  |    | 85 |
|          | N   | NN |    |
| RES TYPE | *IsiPpIeesesssSBesePsPSBSSEsPspSPsseessSPePb  |    |    |
| Okt3v1   | SPKRWIYDTSKLASGVPAHFRGSGSGTSYSLTISGMEADAAT    |    |    |
| REI      | APKLLIYEASNLOAGVPSRFRSGSGSGTDYTFITISLQPEDIAAT |    |    |
|          | ? ?? ? ?                                      |    |    |

\*\*\*\*\* CDR2 (LOOP/KABAT)

|          |                         |     |
|----------|-------------------------|-----|
|          | 102                     | 108 |
| RES TYPE | PiPIPIes**iPIIsPPSPSPSS |     |
| Okt3v1   | YYCQQWSSNPFTFGSGTKLEINR |     |
| REIv1    | YYCQQYQSLPYTFGQGTKLQITR |     |
|          | ? ?                     |     |

|       |              |
|-------|--------------|
| ***** | CDR3 (LOOP)  |
| ***** | CRD3 (KABAT) |

Fig. 3

4/15

```

NN N                      23 26      32 35 N39  43
RES TYPE  SESPsSBssSsSSsSpSpSPsPSEbSBssBePiPiesss
Okt3h     QVQLQQSGAELARPGASVKMSCKASGYTFTRYTMHWVKQRPQG
KOL       QVQLVESGGGVVQPGRSLRLSCSSSGFIFSSYAMYWVRQAPGK
              ?                ??

*****      CDR1 (LOOP)
***** CDR1 (KABAT)

52a      60 65      N N N      82abc      89
RES TYPE  IIeIpppsssssssspsspSSsbSpseSsSseSpspSpSBssSsePb
Okt3vh    GLEWIGYINPSRGYTNTNQKFKDKATLTDDKSSSTAYMQLSSLTSEDSAV
KOL       GLEWVAIIWDDGSDQHYADSVKGRFTISRDNsSKNTLFLQMDSLRPEDTGV
              ??                ? ? ? ?      ?

*****      CDR2 (LOOP)
***** CDR2 (KABAT)

92 N                      107      113
RES TYPE  PiPIEissssiisssbibibi*EIPIPsspSBSS
Okt3vh    YYCARYYDDHY.....CLDYWGQGTTTLTVSS
KOL       YFCARDGGHGFCSSASCFGPDYWGQGPVTVSS
***** CRD3 (KABAT/LOOP)

```

Fig. 4

5 / 15

## OKT 3 HEAVY CHAIN CDR GRAFTS

## 1. gh341 and derivatives

|        | 1   | 26 | 35 | 39 | 43 |       |
|--------|---|----|----|----|----|-------|
| Okt3vh | QVQLQQSGAELARPGASVKMSCKASGYTFTRYTMHWVKQRPGQ |    |    |    |    |       |
| gh341  | QVQLVESGGGVVQPGRSLRLSCSSSGYTFTRYTMHWVRQAPGK |    |    |    |    | JA178 |
| gh341A | QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA185 |
| gh341E | QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA198 |
| gh341* | QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA207 |
| gh341* | QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA209 |
| gh341D | QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA197 |
| gh341* | QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA199 |
| gh341C | QVQLVQSGGGVVQPGRSLRLSCKASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA184 |
| gh341* | QVQLVQSGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA203 |
| gh341* | QVQLVESGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA205 |
| gh341B | QVQLVESGGGVVQPGRSLRLSCSSSGYTFTRYTMHWVRQAPGK |    |    |    |    | JA183 |
| gh341* | QVQLVQSGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA204 |
| gh341* | QVQLVESGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA206 |
| gh341* | QVQLVQSGGGVVQPGRSLRLSCSASGYTFTRYTMHWVRQAPGK |    |    |    |    | JA208 |
| KOL    | QVQLVESGGGVVQPGRSLRLSCSSSGFIFSSYAMYWVRQAPGK |    |    |    |    |       |

Fig. 5(i)

6 / 15

|        | 44  | 50 | 65 | 83 |
|--------|---|----|----|----|
| Okt3vh | GLEWIGYINPSRGYTNYNQKFKDKATLTDDKSSSTAYMQLSSLT        |    |    |    |
| gH341  | GLEWVAYINPSRGYTNYNQKFKDRFTISRDN SKNTLFLQMDSLR JA178 |    |    |    |
| gH341A | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKSTAFLQMDSLR JA185   |    |    |    |
| gH341E | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKSTAFLQMDSLR JA198   |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKNTAFLQMDSLR JA207   |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISRDN SKNTAFLQMDSLR JA209  |    |    |    |
| gH341D | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKNTLFLQMDSLR JA197   |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISRDN SKNTLFLQMDSLR JA199  |    |    |    |
| gH341C | GLEWVAYINPSRGYTNYNQKFKDRFTISRDN SKNTLFLQMDSLR JA184 |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKSTAFLQMDSLR JA207   |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKSTAFLQMDSLR JA205   |    |    |    |
| gH341B | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKSTAFLQMDSLR JA183   |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKSTAFLQMDSLR JA204   |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKSTAFLQMDSLR JA206   |    |    |    |
| gH341* | GLEWIGYINPSRGYTNYNQKVDRFTISTDKSKNTAFLQMDSLR JA208   |    |    |    |
| KOL    | GLEWVAIIWDDGSDQHYADSVKGRFTISRDN SKNTLFLQMDSLR       |    |    |    |

Fig. 5(ii)

7 / 15

|        | 84                     | 95                   | 102             | 113   |  |
|--------|------------------------|----------------------|-----------------|-------|--|
| Okt3vh | SEDS                   | AVYYCARYYDDHY.....   | CLDYWGQGTTLTVSS |       |  |
| gH341  | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA178 |  |
| gH341A | PEDTAVYYCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA185 |  |
| gH341E | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA198 |  |
| gH341* | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA207 |  |
| gH341D | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA197 |  |
| gH341* | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA209 |  |
| gH341* | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA199 |  |
| gH341C | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA184 |  |
| gH341* | PEDTAVYYCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA203 |  |
| gH341* | PEDTAVYYCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA205 |  |
| gH341B | PEDTAVYYCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA183 |  |
| gH341* | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA204 |  |
| gH341* | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA206 |  |
| gH341* | PEDTGVYFCARYYDDHY..... | CLDYWGQGTTLTVSS      |                 | JA208 |  |
| KOL    | PEDTGVYFCARDGGHGFCS    | SASCFGPDYWGQGTPVTVSS |                 |       |  |

Fig. 5(iii)

8 / 15

## OKT3 LIGHT CHAIN CDR GRAFTING

## 1. gL221 and derivatives

|        |  |    |    |    |
|--------|--|----|----|----|
|        | 1  | 24 | 34 | 42 |
| Okt3v1 | QIVLTQSPA <del>IM</del> SASPGEKV <del>TM</del> TCSASS.SVSYMNWYQOKSGT |    |    |    |
| gL221  | DIQMTQSPSSLSASVGDRVTITC <u>SASS</u> .SVSYMNWYQOTPGK                  |    |    |    |
| gL221A | <u>QIV</u> MTQSPSSLSASVGDRVTITC <u>SASS</u> .SVSYMNWYQOTPGK          |    |    |    |
| gL221B | <u>QIV</u> MTQSPSSLSASVGDRVTITC <u>SASS</u> .SVSYMNWYQOTPGK          |    |    |    |
| gL221C | DIQMTQSPSSLSASVGDRVTITC <u>SASS</u> .SVSYMNWYQOTPGK                  |    |    |    |
| REI    | DIQMTQSPSSLSASVGDRVTITCQASQDIKYL <del>NW</del> YQOTPGK               |    |    |    |

|        |   |    |    |    |
|--------|---|----|----|----|
|        | 43  | 50 | 56 | 85 |
| Okt3v1 | SPKRWIYDTSK <del>LA</del> SGVPAHFRGSGSGTSYSLTISGMEAEDAAT  |    |    |    |
| gL221  | APKLLIYDTSK <del>LA</del> SGVPSRFSGSGSGTDYTFTIS <del>SL</del> QPED <del>IA</del> T              |    |    |    |
| gL221A | APKR <del>WI</del> YDTSK <del>LA</del> SGVPSRFSGSGSGTDYTFTIS <del>SL</del> QPED <del>IA</del> T |    |    |    |
| gL221B | APKR <del>WI</del> YDTSK <del>LA</del> SGVPSRFSGSGSGTDYTFTIS <del>SL</del> QPED <del>IA</del> T |    |    |    |
| gL221C | APKR <del>WI</del> YDTSK <del>LA</del> SGVPSRFSGSGSGTDYTFTIS <del>SL</del> QPED <del>IA</del> T |    |    |    |
| REI    | APKLLIYEASN <del>LQ</del> AGVPSRFSGSGSGTDYTFTIS <del>SL</del> QPED <del>IA</del> T              |    |    |    |

|        |                        |    |    |     |
|--------|------------------------|----|----|-----|
|        | 86                     | 91 | 96 | 108 |
| Okt3v1 | YYCQWSSNPFTFGSGTKLEINR |    |    |     |
| gL221  | YYCQWSSNPFTFGQGTKLQITR |    |    |     |
| gL221A | YYCQWSSNPFTFGQGTKLQITR |    |    |     |
| gL221B | YYCQWSSNPFTFGQGTKLQITR |    |    |     |
| gL221C | YYCQWSSNPFTFGQGTKLQITR |    |    |     |
| REI    | YYCQYQSLPYTFGQGTKLQITR |    |    |     |

CDR'S ARE UNDERLINED

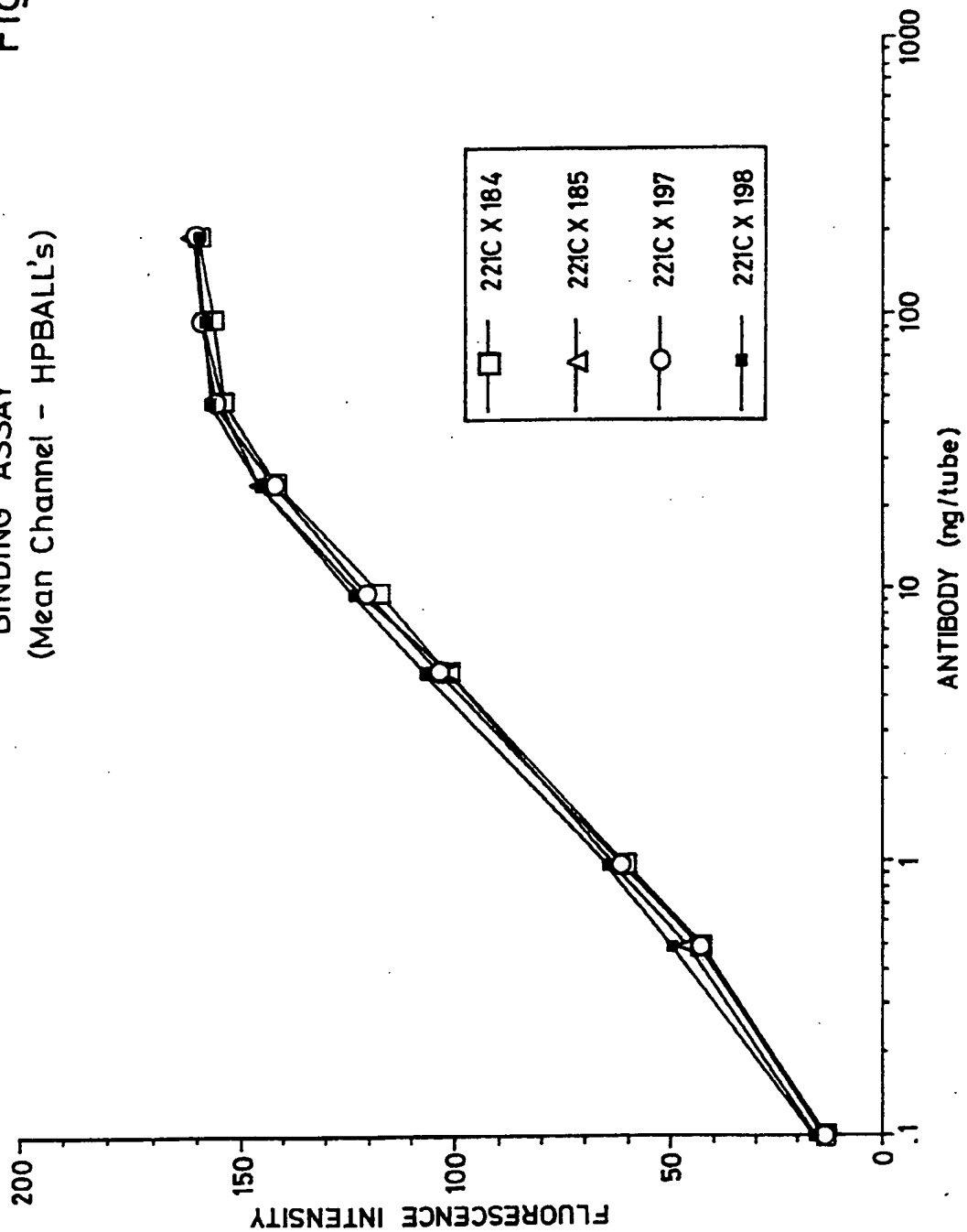
FRAMEWORK RESIDUES INCLUDED IN THE GENE ARE DOUBLE UNDERLINED

Fig. 6

9/15

Fig. 7

OKT3 - pJA198 EVALUATION  
BINDING ASSAY  
(Mean Channel - HPBALL's)

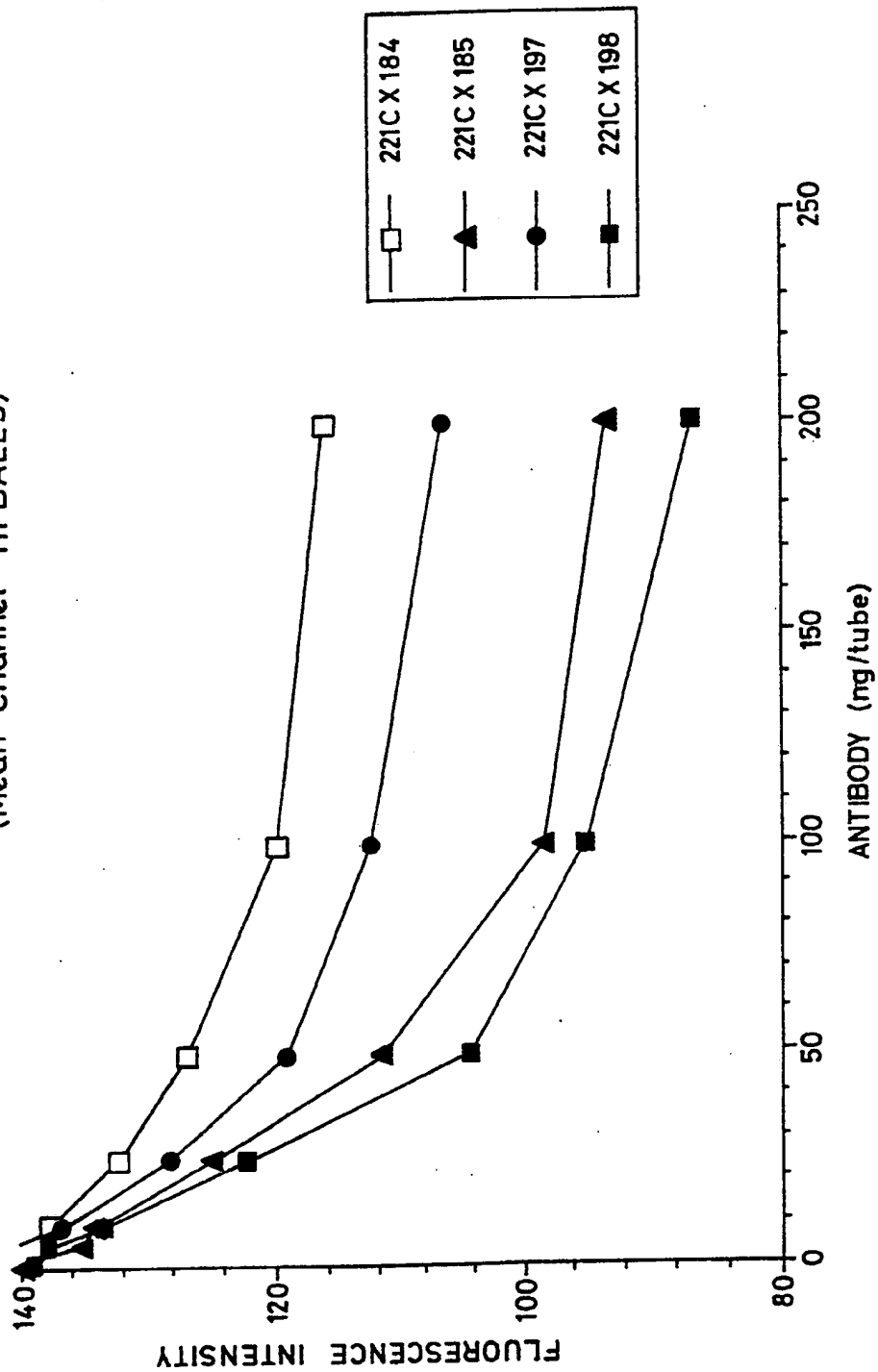




10 / 15

Fig. 8

OKT3 - PJA198 EVALUATION  
BLOCKING ASSAY  
(Mean Channel -HPBALL's)



11/15

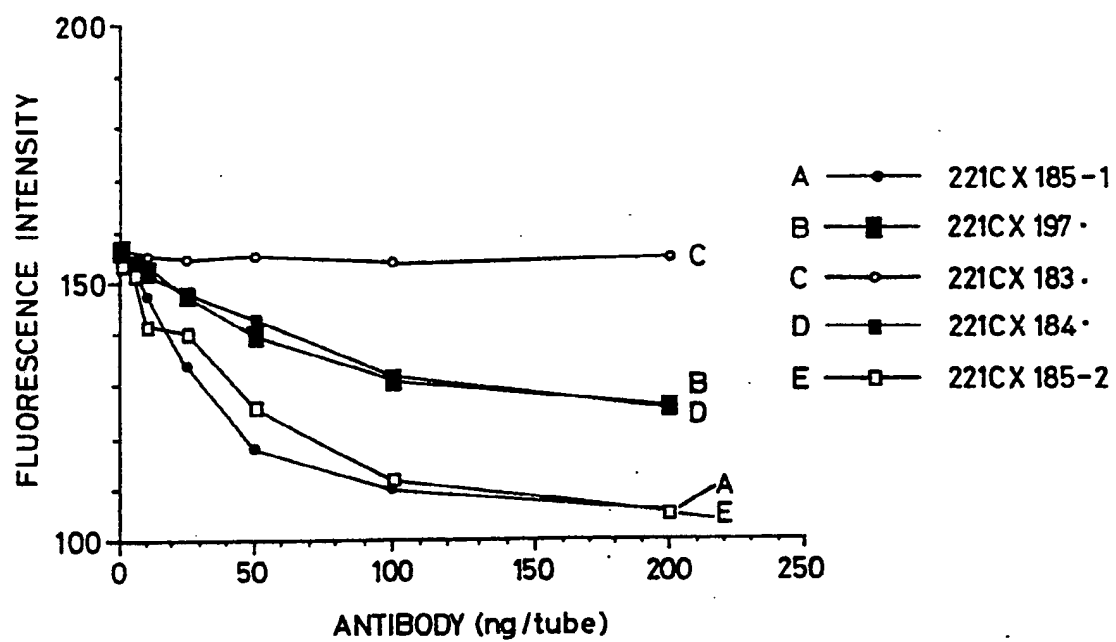
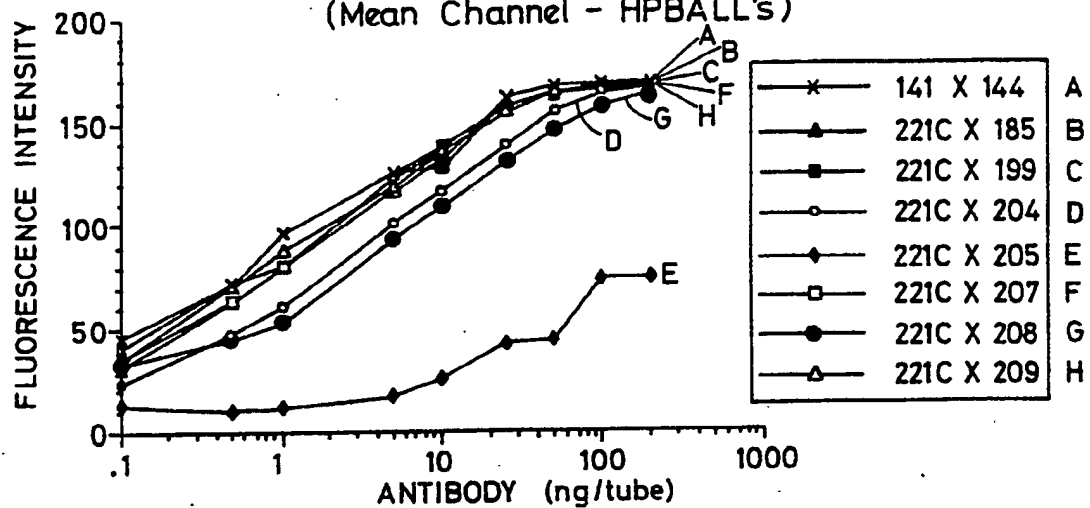
BLOCKING ASSAY  
(Mean Channel - HPBALL's)

Fig. 9

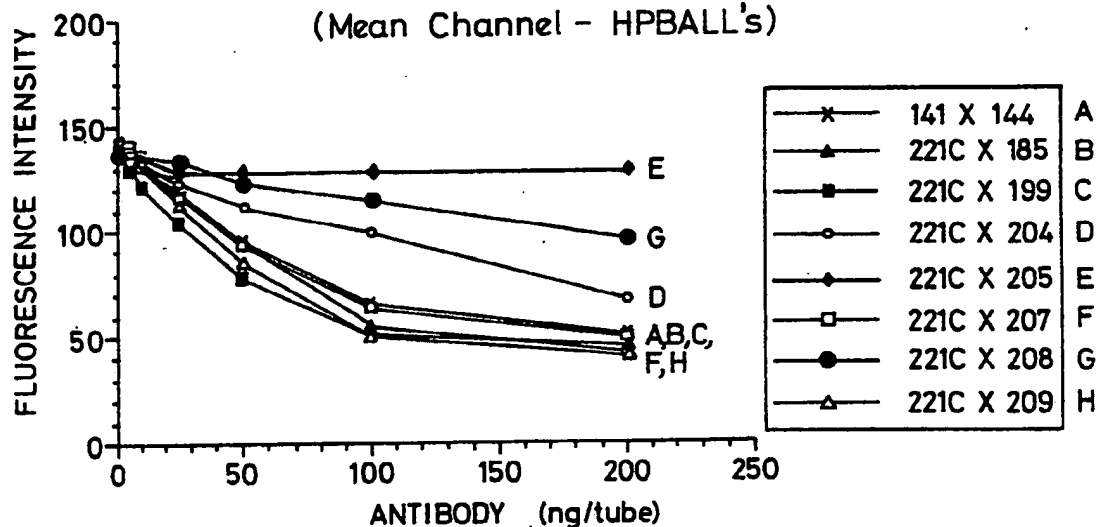
12 / 15

Fig.10

# OKT3 - GRAFTED HEAVY CHAINS BINDING ASSAY (Mean Channel - HPBALL's)



# OKT3 - GRAFTED HEAVY CHAINS BLOCKING ASSAY (Mean Channel - HPBALL's)



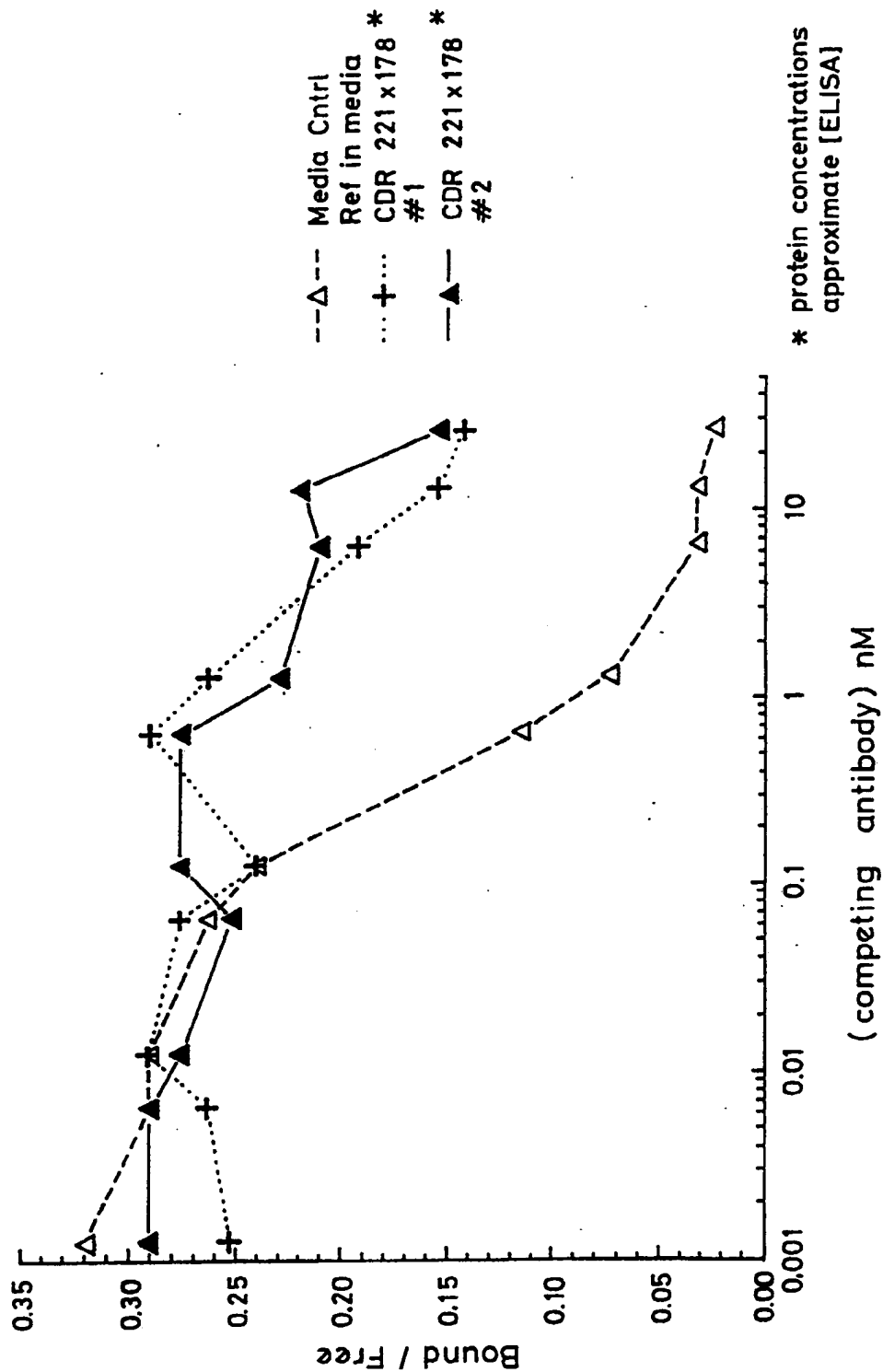
|           |                                      |
|-----------|--------------------------------------|
| (205)     | ---,24,48,49,71,73,76,78,88,91,      |
| (208)     | 6,---,24,48,49,71,73,---,78,---,---  |
| (204)     | 6,---,24,48,49,71,73,76,78,---,---   |
| (199)     | 6,23,24,48,49,---,---,---,---,---    |
| (207)     | 6,23,24,48,49,71,73,---,78,---,---   |
| (185)     | 6,23,24,48,49,71,73,76,78,88,91,     |
| (209)     | 6,23,24,48,49,---,---,---,78,---,--- |
| 141 X 144 |                                      |



14 / 15

Fig. 12

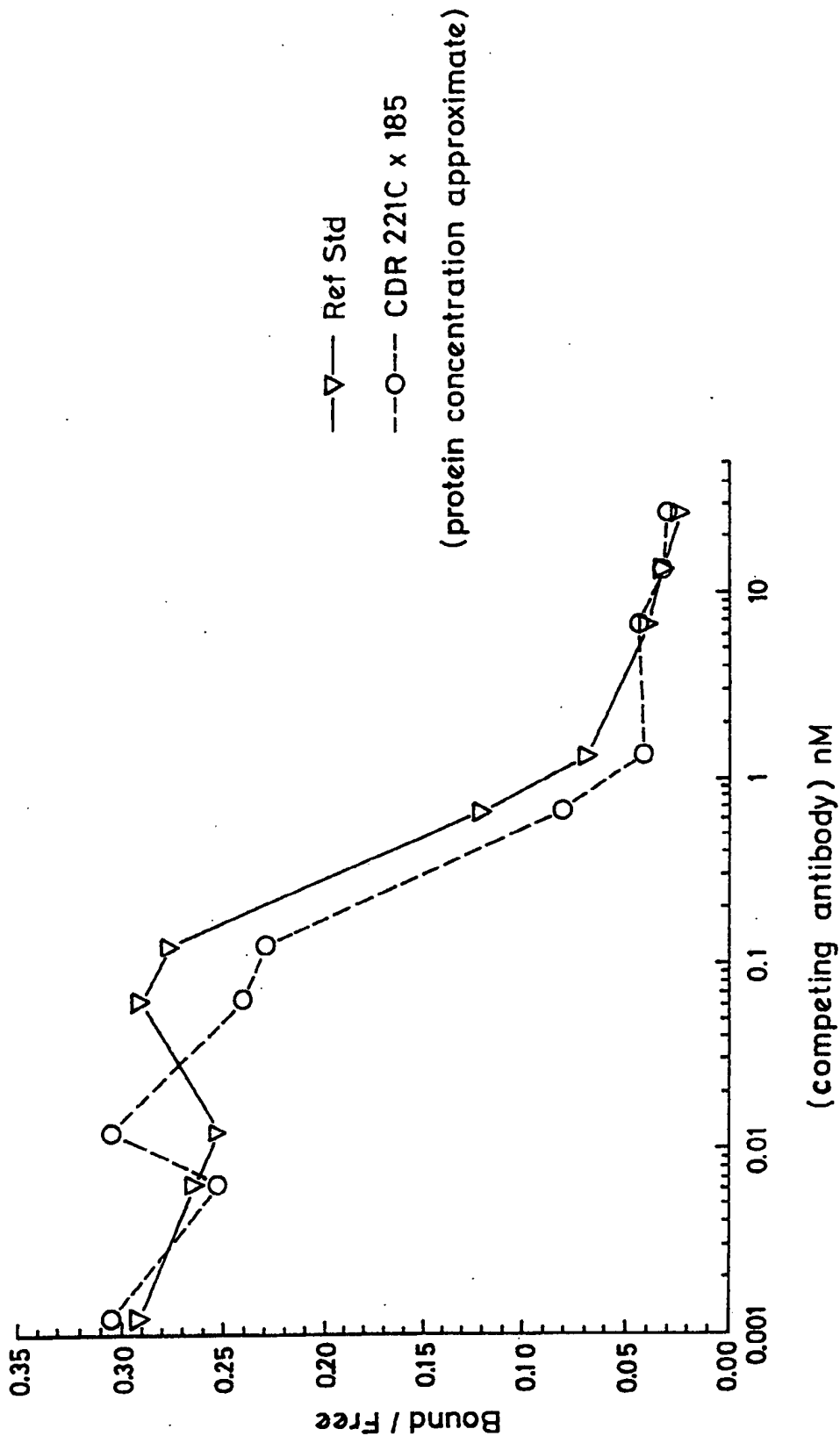
OKT3 Competition  
Murine Ref Std vs. CDR Grafted OKT3



15 / 15

Fig. 13

OKT3 Competition  
Murine Ref Std vs. CDR Grafted OKT3



# INTERNATIONAL SEARCH REPORT

International Application No PCT/GB 90/02018

|   |  |                                     |
|---|--|-------------------------------------|
| <b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>   |  |                                     |
| According to International Patent Classification (IPC) or to both National Classification and IPC<br><b>IPC5: C 12 P 21/08, C 12 N 15/13, A 61 K 39/395, C 07 K 15/06</b><br><b>C 12 N 5/10, 15/62, A 61 K 49/00</b>  |  |                                     |
| <b>II. FIELDS SEARCHED</b>  |  |                                     |
| Minimum Documentation Searched <sup>7</sup>   |  |                                     |
| Classification System   | Classification Symbols   |                                     |
| IPC5  | C 12 N, C 12 P, A 61 K   |                                     |
| Documentation Searched other than Minimum Documentation<br>to the Extent that such Documents are Included in Fields Searched <sup>8</sup>   |  |                                     |
|   |  |                                     |
| <b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>   |  |                                     |
| Category *  | Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>   | Relevant to Claim No. <sup>13</sup> |
| P,X   | EP, A1, 0403156 (GENZYME CORPORATION ET AL.)<br>19 December 1990,<br>see page 3, lines 13-29, examples 8-12<br>and corresponding tables<br>--  | 1-7,9,<br>11-14,<br>16,18-<br>25    |
| Y   | Proc. Natl. Acad. Sci. USA, vol. 86, December 1989,<br>C. Queen et al.: "A humanized antibody that<br>binds to the interleukin 2 receptor ",<br>see the whole document and in particular<br>page 10031 right col. - page 10032, left<br>col. and page 10033, left col.<br>-- | 1-25                                |
| A   | Nature, vol. 332, March 1988, L. Riechmann et<br>al.: "Reshaping human antibodies for therapy<br>", see page 323 - page 327<br>see in particular page 327, right col.<br>--  | 1-25                                |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><b>* Special categories of cited documents:<sup>10</sup></b></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p> </div> </div> |  |                                     |
| <b>IV. CERTIFICATION</b>  |  |                                     |
| Date of the Actual Completion of the International Search   | Date of Mailing of this International Search Report  |                                     |
| 10th April 1991   | 22 MAY 1991  |                                     |
| International Searching Authority   | Signature of Authorized Officer  |                                     |
| EUROPEAN PATENT OFFICE  | MISS D. S. KECYVALECZYK<br>  |                                     |

| III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET) |  |                      |
|--|--|----------------------|
| Category *   | Citation of Document, with indication, where appropriate, of the relevant passages   | Relevant to Claim No |
| A  | EP, A1, 0328404 (MEDICAL RESEARCH COUNCIL ET AL.)<br>16 August 1989,<br>see pages 1-3, page 9, lines 49-54 and<br>the claims<br><br>--   | 1-6,<br>11           |
| Y  | Transplantations, vol. 41, No. 5, 1986, G.J.<br>Jaffers et al.: "Monoclonal antibody therapy<br>", see page 572 - page 578<br>see page 572 and 577-8<br><br>--   | 1-25                 |
| A  | Nature, vol. 337, January 1989, C.A. Smith et<br>al.: "Antibodies to CD3/T-cell receptor complex<br>induce death by apoptosis in immature T cells<br>in thymic cultures ", see page 181 -<br>page 184<br><br>--<br><br>----- | 1                    |



## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. ☒ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers 26 because they relate to subject matter not required to be searched by this Authority, namely:

See PCT Rule 39.1(iv)

Method for treatment of the human or animal body by means of surgery or therapy, as well as diagnostic methods.

2. ☐ Claim numbers..... because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers..... because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 8.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>2</sup>

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.PCT/GB 90/02018**

SA 43131

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the European Patent Office EDP file on 28/02/91  
The European Patent office is in no way liable for these particulars which are merely given for the purpose of information.

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
|---|---------------------|----------------------------|---------------------|
| EP-A1- 0403156                            | 19/12/90            | NONE                       |                     |
| EP-A1- 0328404                            | 16/08/89            | AU-D- 3062689              | 06/09/89            |
|   |                     | GB-A- 2216126              | 04/10/89            |
|   |                     | WO-A- 89/07452             | 24/08/89            |

For more details about this annex : see Official Journal of the European patent Office, No. 12/82